

# Innovative and Strategic Program Initiatives for Research and Education-North Dakota INSPIRE North Dakota

NSF EPSCoR RII Track-1 Strategic Plan 2014-2019

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#### Table 1. Glossary of Acronyms

CCCC Cankdeska Cikana Community College, Fort Totten, ND

CI CyberInfrastructure

CRCS Center for Regional Climate Studies
CSMS Center for Sustainable Materials Science

**DoE** Department of Energy

**DSU** Dickinson State University, Dickinson, ND

**EMPOWERED-ND** Emerging Programs for Workforce Development, Outreach, Education and Diversity-ND

**FBCC** Fort Berthold Community College, New Town, ND

FFA Future Farmers of America
GCM Global Climate Model

GLDAS Global Land Data Assimilation Systems

HPC High Performance ComputingIHM Integrated Hydrological Modeling

**INSPIRE-ND** Innovative and Strategic Program Initiatives for Research and Education-ND

InVEST Integrated Valuation of Ecosystem Services and Trade-offs

**LLISST-VSF** Laser In Situ Scattering and Transmissometry - Volume Scattering Function

MaSU Mayville State University, Mayville, ND
MiSU Minot State University, Minot, ND

MODIS Moderate Resolution Imaging Spectroradiometer

NATURE Nurturing American Tribal Undergraduate Research and Education

NDSCS North Dakota State College of Science, Wahpeton, ND

NDSUNorth Dakota State University, Fargo, NDPRISMPortable Remote Imaging SpectrometerPUIsPrimary Undergraduate InstitutionsREUResearch Experience for Undergraduates

RII Research Infrastructure Improvement

SA Sunday Academy

SBCSitting Bull College, Fort Yates, NDSBIRSmall Business Innovation and ResearchSTTRSmall Business Technology TransferSWATSoil and Water Assessment Tool

TCs Tribal Colleges

TMCC Turtle Mountain Community College, Belcourt, ND UND University of North Dakota, Grand Forks, ND

USDA United States Department of Agriculture

UTTC United Tribes Technical College, Bismarck, ND
VSCU Valley City State University, Valley City, ND

#### 1. EXECUTIVE SUMMARY

#### 1.1 Introduction

North Dakota (ND) EPSCoR's Strategic Plan details the conceptual, programmatic and management framework for successfully accomplishing the goals of Innovative and Strategic Program Initiatives for Research and Education-North Dakota (INSPIRE-ND) set forth in North Dakota's NSF EPSCoR Track I award IIA-1355466 [2014-2019]. Through INSPIRE-ND, the state's two research universities, North Dakota State University (NDSU) and the University of North Dakota (UND), will lead a dually-focused research effort that capitalizes on the growing research capabilities of the state's Tribal Colleges (TCs) and the Primarily Undergraduate Institutions (PUIs).

Regional climate change and sustainable materials directly impact North Dakota's traditionally strongest economic sector, agriculture. With diverse crops, ND is a national leader in the production of all dry edible beans, navy beans, pinto beans, canola, flaxseed, honey, durum wheat, spring wheat, barley, lentils, oats, dry edible peas, sunflowers, and all other wheat production<sup>1</sup>. INSPIRE-ND examines the effects of climate change on the production of food [systems, supply and protein density] and biofeedstock supplied to the nation and the world by the Northern Great Plains. INSPIRE-ND will demonstrate the viability of alternative biofeedstock sources that are low cost and renewable, with long product lifetimes, high durability, offer efficient recyclability and high value to discover new sustainable materials that will influence ND's economy by strengthening its overall competitiveness. INSPIRE-ND is designed to build and sustain a transformative, multifaceted, synergistic academic research and education enterprise, anchored by a more diverse skilled workforce to drive ND's emerging knowledge-driven economy. With these outcomes in mind, the program will: 1) develop two new research themes/platforms in regional climate studies and sustainable material science; 2) build physical and human research infrastructure; and 3) integrate research, education and human resources with statewide workforce development initiatives to increase public scientific literacy through a coordinated initiative titled: EMPOWERED-ND (EMerging PrOgrams for WorkforcE Development OutReach, Education and Diversity-North Dakota). EMPOWERED-ND is a modern STEM workforce program aimed to build human capacity for the future use of renewable resources for chemicals, food and energy. The program will explore innovative research areas focused on bio-based materials (Center for Sustainable Materials Science (CSMS)) and coupled natural human systems driven by a changing climate (Center for Regional Climate Studies (CRCS)) while working to strengthen workforce development; expand and leverage our collaborative cyberinfrastructure environment; provide primary as well as seed funding for emerging high impact and transformative research; further encourage the diversity of our programs; and partner and collaborate with private, state and federal entities in ways that improve the long-term research competitiveness of North Dakota.

#### 1.2 INSPIRE-ND: Vision and Mission

North Dakota is poised to continue its recent research program growth trajectory in addressing important scientific problems of agricultural global relevance through broadening participation of the Tribal Colleges and Primarily Undergraduate Institutions. Through these collaborations, North Dakota envisions building a competitive 21<sup>st</sup> century workforce equipped with the skills necessary to meet the environmental challenges of the agricultural sector. The INSPIRE-ND Strategic Plan was formulated based on the program's vision and mission articulated in the original proposal submitted to NSF in August 2013.

**Vision:** INSPIRE-ND will help lead the nation in environmentally sustainable, agriculturally-related food production and biofeedstock development, integrated with an educated workforce necessary to meet the agricultural challenges in the face of a shifting environmental climate.

**Mission: INSPIRE** North Dakota to address and mitigate the regional environmental threats to the state's agriculture production.

INSPIRE-ND has five strategic foci: 1) CRCS; 2) CSMS; 3) Diversity; 4) Education and Workforce Development; and 5) Partnerships, Collaborations and Communication. Cyberinfrastructure is embedded throughout each of the five strategic foci and is an enabling technology. As such, cyberinfrastructure is not considered a goal. Each focus area is associated with a strategic priority, goal(s), several objectives, numerous activities and benchmarks, key impact, team lead and participants. Team participation is from multiple institutions. The goals, objectives, benchmarks and activities are presented in easy—to-read tables to facilitate tracking and reviewing program progress by the program leads, ND EPSCoR management team, NSF Programs Directors, and external evaluation teams (including the external evaluator, the External Advisory Committee, and Reverse Site Visit panel members).

The INSPIRE-ND program was designed to promote an EMPOWERED-ND by threading activities into each of the research cluster foci in an effort designed to increase the program's long-term sustainability. To further promote diversity, education, workforce development, partnerships, collaborations and communication, interdisciplinary and inter-institutional teams of program members reviewed program plans and identified new ideas and opportunities for synergy among program components during the Strategic Planning Workshop. The five Tribal Colleges in North Dakota (Cankdeska Cikana Community College, Fort Berthold Community College, Sitting Bull College, Turtle Mountain Community College and United Tribes Technical College,) are important partners of INSPIRE-ND, as are the state's four PUIs (Dickinson State University, Mayville State University, Minot State University and Valley City State University).

The INSPIRE-ND Strategic Plan also includes the following programs: 1) synergies for sustainability, 2) risk mitigation, 3) management and succession plan and 4) evaluation and assessment process.

#### 2. INTRODUCTION

#### 2.1 Strategic Planning Process

The strategic planning process involved a logical sequence of productive meetings during August through December 2014 with the program's PI (Rusch), co-PIs (Hoffmann and Ostrom-Blonigen), previous co-PI (Anderson), ND EPSCoR Steering Committee chair (Milavetz), external evaluator (Shaw), external facilitator (CONCUR, Inc.) and program team members.

The management and program teams corresponded regularly and met in Hillsboro, ND on September 23, 2014 to review and update program benchmarks and milestones in preparation for the Strategic Planning Workshop, held on October 20-21, 2014 at NDSU, Fargo, ND. The goal of the workshop was to produce a collaborative plan for managing and measuring ND EPSCoR RII Track-1 project progress. Thirty-three participants engaged in the two-day facilitated discussion, including Dr. Timothy M. VanReken, NSF EPSCoR Program Director for North Dakota, ND EPSCoR leadership, program leads and team members, other representatives from NDSU and UND, the chair of the ND EPSCoR State Steering Committee, two members of the ND EPSCoR State Steering Committee (Dr. Kalpana Katti and Dr. Michael Poellot), one member of the North Dakota University System—representing the PUIs (Dr. Richard Rothaus), the project's external evaluator and the external facilitators. Although invited, the state Tribal College representative to the ND EPSCoR State Advisory Committee was unable to be present for the workshop.

Participants were provided with workshop information in advance that included the agenda, the proposal summary, and a list of participants. During the workshop, the 33 participants reviewed program plans; articulated outcomes and objectives, drafted and refined benchmarks and metrics; refined a program succession plan; outlined plans for program collaboration efforts; and identified program risks and mitigation approaches.

Subsequent to the workshop, group leads took on responsibility to continue to develop their respective tables: CRCS, CSMS, Diversity, Education/Workforce Development and Partnerships/
Collaborations/Communication for feedback from the ND EPSCoR leadership and the external consultant. Additionally, members of the ND EPSCoR Leadership team visited with all of the Tribal College Presidents at the November 18, 2014 ND Tribal Association Meeting to confer with them about the new award and to discuss the vacant Tribal Colleges Liaison position. The TC presidents were asked to review and provide input on the strategic plan on December 1, 2014. Although none of the TC presidents provided feedback on the strategic plan; their campuses are engaged in the Track-1 program. TC involvement as of 1/15/15 includes:

- August 2014 Research cluster personnel and the ND EPSCoR Office visited Cankdeska
  Cikana Community College (CCCC). As a result of that visit, Brent Voels, Science Instructor,
  CCCC, has been confirmed by CCCC's president as a researcher on the Center for Regional
  Climate Studies (CRCS) team. Voels' research interests are oil spill impacts: soil quality,
  persistence of heavy metals, and crop yields and he seeks to tie his research to broader impacts
  on land-use.
- November 2014 ND EPSCoR's Hoffmann and Ostrom-Blonigen attended the North Dakota Association of Tribal Colleges meeting and discussed the Track-1 initiatives, the upcoming C2 interactive video conference, and additional upcoming TC visits with all five of the TC presidents.
- November 2014 Research cluster personnel and the ND EPSCoR Office visited Sitting Bull College (SBC). As a result of that visit, SBC faculty have expressed interest in work being done at both the CRCS and the Center for Sustainable Materials Science (CSMS). SBC faculty are planning to meet during spring semester 2015 to determine which project their campus will

bring forward. Additionally outside of immediate goals for research cluster collaboration, SBC expressed interest in working with NDSU and UND to develop partnerships in which graduate students from both campuses would work with their advisors to teach modules outside of the expertise of current faculty.

- December 2014 Research cluster personnel and the ND EPSCoR Office held a final C2 interactive video conference session, which was attended by four of the five TCs. During that meeting, a faculty members at Fort Berthold Community College (FBCC) and United Tribes Technical College (UTTC) expressed interest in joining research projects. Also present during this videoconference was Dr. Richard Rothaus, representing the PUIs.
  - o January 29-30, 2015 Research cluster personnel and the ND EPSCoR Office will visit FBCC and UTCC to determine which project those campuses wish to bring forward.
- February 2015 The ND EPSCoR is waiting for Turtle Mountain Community College (TMCC) to respond to a request to visit that campus in February 2015.

The collaborative input derived from the two-day workshop and subsequent meetings yielded the **INSPIRE-ND Strategic Plan**. This Plan outlines how ND EPSCoR will achieve its program vision, mission and goals and will be reviewed and restructured annually.

#### 2.2 Alignment of INSPIRE-ND with the North Dakota Science and Technology Plan

The ideas for INSPIRE-ND emerged from an analysis of the State's economy as it aligns with research strengths of NDSU and UND. Selection of this set of aims was guided by a set of strategic documents including North Dakota's Science and Technology Plan (S&T), which identified five major areas of economic development: 1) advanced manufacturing, 2) energy, 3) value-added agriculture, 4) technology-based business, and 5) tourism. INSPIRE-ND is directly linked to value-added agriculture and will be enhanced by the advanced manufacturing, energy and technology-based business initiatives in the State.

The North Dakota S&T Plan identified seven strategies to help drive economic development across the five areas: 1) focus research and development in areas that are already strengths in the state so that ND can continue to increase its competitive advantage; 2) support and expand the infrastructure for research, particularly at the two research universities; 3) support technology transfer and, where appropriate, commercialization of inventions and innovations developed by universities; 4) foster partnerships between the private sector and research universities; 5) find ways to produce, hire, and retain more high school-level STEM teachers (a shortage occupation in the state), especially for small rural schools; 6) increase state investment in research at the institutions in the ND University System; and 7) create ways to increase awareness of the S&T capacity of the state, and to use it to develop regulatory schemes based on sound Dakota's Science Technology Plan can found North and be http://www.ndsu.edu/epscor/documents/Sci-TechStrategicPlan2-2013.pdf.

North Dakota's future prosperity depends on the successful, coordinated and funded implementation of activities within the stated strategies. INSPIRE-ND capitalizes on the agricultural, water (quantity/quality), energy and advanced manufacturing research strengths (4 of the 6 strengths detailed in the S&T Plan) at NDSU and UND, combined with the coordinated ND University System and Tribal College System to position the State as a national leader in environmentally sustainable, agriculturally-related food production and biofeedstock development. The State's goal to remain a top national agricultural producer: serves to foster the development of a diverse and sustainable agriculture platform with a variety of market pathways (i.e., food, renewable feedstocks for materials, energy); strengthens the need for a trained STEM workforce; and underscores the need of educating the public about the benefits of environmentally adaptable and sustainable agriculture for today's economy. This goal aligns precisely with North Dakota's Track-1 program goals for INSPIRE-ND.

#### 2.3 Strategic Impacts

North Dakota's agricultural economy is growing; to sustain that growth, it is important to understand and predict how regional climate changes impact crop production. Additionally, due to the negative environmental impact of non-biodegradable materials on the environment, it is important to discover new ways to maximize the use of sustainable materials. North Dakota's RII Track-1 project supports researchers as they conduct innovative research on regional climate patterns and seek to identify the physical components necessary to transition away from fossil-based petrochemicals and their materials to more sustainable platforms. In conducting this program, North Dakota will demonstrate the essential linkage between research innovation and the social change required to embrace alternative energy sources. Two research centers, Center for Regional Climate Studies (CRCS) and Center for Sustainable Materials Science (CSMS), have been created to facilitate research, education, workforce development, and outreach on regional climate change effects on coupled natural human systems and the importance of sustainable materials. The project also includes the resources to hire four (4) NDSU faculty who will participate in CSMS research, graduate and undergraduate students to conduct research at both centers, and up to nine other affiliated facilities (5 TCs and 4 PUIs). The consequences associated with climate change and unsustainable materials are not unique to North Dakota. EMPOWERED-ND is aimed at increasing social awareness and future economic growth. The competitiveness of North Dakota researchers in NSF programs will increase as a result of this award.

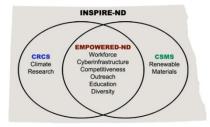
#### 3. INSPIRE-ND Strategic Plan

#### 3.1 Vision

INSPIRE-ND will help lead the nation in environmentally sustainable, agriculturally-related food production and biofeedstock development, integrated with an educated workforce necessary to meet the challenges in the face of a shifting environmental climate.

#### 3.2 Mission

INSPIRE an EMPOWERED North Dakota: Our Innovative and Strategic Program Initiatives for Research and Education are building EMerging PrOgrams for WorkforcE Development OutReach, Education and Diversity in North Dakota to address and mitigate the regional environmental threats to the state's agriculture production.



**EMPOWERED-ND** is a tightly integrated workforce development, education and outreach and partnership program designed to ensure a continual pipeline of highly qualified individuals to meet the future needs of the ND economy. To encourage and sustain participation of students from underrepresented groups, EMPOWERED-ND is integrated with statewide diversity efforts.

The ND EPSCoR program will increase public scientific literacy and train a modern STEM workforce to build human capacity for future use of renewable resources for chemicals, food and energy. ND undergraduate and graduate students, as well as postdoctoral researchers, will participate in the research programs and receive mentoring for career development. Discoveries will be translated into marketable, commercial applications using strategic relationships with the private sector. Partnerships with the private sector and non-profit organizations include programs that provide students with opportunities to work with companies on technology development projects and mentoring programs for intellectual property management and technology transfer. RII elements that support underrepresented groups, especially Native Americans, to pursue STEM-based careers are key features of EMPOWERED-ND. These initiatives will be accomplished via collaborations with our TCs and PUIs. EMPOWERED-ND broadens diversity of participation and builds a network of STEM advocates and stakeholders to promote new strategies for communicating the pathways and outcomes of ND EPSCoR activities. Meaningful and two-way partnerships and communication networks established from EMPOWERED-ND, and coupled with INSPIRE-ND, will foster development of the next generation of globally engaged scientists and engineers in renewable resources discovery and utilization.

#### 3.3 Program Goals

The **overall program goals** of INSPIRE-ND are captured in the letters of the word "INSPIRE":

- INnovative research focused on bio-based materials and climate change
- Strategic integration of research, STEM education and outreach through EMPOWERD-ND to serve the entire State
- Increased workforce diversification through strategic **Programmatic** elements
- Increased research and technology capacity through Initiatives aligned with the State's Science and Technology Plan
- Increased participation of underrepresented groups in general and in particular by research opportunities from the TCs and PUIs through engaged Research experiences
- Broader public Education on issues of sustainability and environmental stewardship through increased partnerships and communication.

# 3.4 Strategic Focus Areas, Goals, Objectives, Benchmarks, Metrics, Impacts, Partners, and Participants

Five focus areas of North Dakota's Track-1 project support its mission to INSPIRE an EMPOWERED North Dakota to discover new ways to care for our environment and secure the economic sustainability of our agricultural economy: 1) Center for Regional Climate Studies (CRCS); 2) Center for Sustainable Materials Science (CSMS); 3) Diversity; 4) Education and Workforce Development; and 5) Partnerships, Collaborations and Communications.

The following outline describes the organization for each of the five focus areas in the Strategic Plan:

- 1. The focus areas are first described in a narrative format, which includes:
  - Strategic priority
  - Goals
  - Objectives to achieve goals
  - Team leads
  - Team participants and partners
  - Key outcome(s)
- 2. A focus area table format follows for each goal (color-coded blue), which contains:
  - Objectives for each goal (green)
  - Benchmarks/activities (gray) for each year.
    - o The activity section is also highlighted (gray) in the years in which a benchmark has not started or is complete.
    - o The word "ongoing" means that the activities of a certain year will exactly mirror the activities of the immediate prior year.
- 3. A separate table of Output Metrics (beige) with associated baseline and cumulative measurement targets follow each focus area table. The metrics that are highlighted (lighter beige) represent the collaboration synergy metrics (which relate to sustainability components of the program) discussed in section 3.11 of the Strategic Plan. These metrics will be used by the external evaluator to assess project progress.

#### 3.5 Focus Area 1-Center for Regional Climate Studies (CRCS)

**Strategic Priority:** North Dakota, recognized as a major sustainable supplier of food crops and biofeedstocks, seeks to continue and advance that ranking through an increased understanding of regional climate patterns.

#### Goals:

- 1. Develop and apply an integrated modeling approach to project the impact of climate variations on the agricultural economy of the Northern Great Plains (NGP).
- Build CRCS into a high functioning, interdisciplinary, sustainable regional climate and education center that includes utilization of cyberinfrastructure (CI).

**Objectives to Achieve Goals:** To achieve Goal 1, the CRCS team has identified 6 objectives with benchmark activities that are aimed to:

 Develop a comprehensive understanding of regional climate variations over the Northern Great Plains region (objectives 1.1 and 1.2). These climate results will provide inputs to the regional hydrological, agricultural, and atmospheric studies as mentioned in

Research ted Hydrological Model Education & Impact on Crop Productivity Outreach Development Observationa Key legends Network of key Observation or climate variables prediction Key component Regional climate variability (trend and extremes) in ag-relevant spatial and temporal scales Forcina or Crop model Hydrologic model predict predict crop hydrologic cycle inclu yield and qual drought and flooding Economic model predict

*objectives 1.3-1.6.* In addition, the team will analyze linkages between regional climate variations and extreme hydrological conditions to improve predictions of regional flooding and drought.

adaptation and LUIC cha

- Develop an integrated hydrologic modeling (IHM) system based on hydrological and meteorological databases, remote sensing observations across spatial and temporal scales (meters to 25 km, minutes to monthly), and new field data collection (*objective 1.3*). The IHM will provide varying-resolution predictions of precipitation-induced surface runoff, infiltration and ponding, subsurface flow, evapotranspiration, and stream flow. The IHM will be used to compare results for drought and flood predictions from *objectives 1.1 and 1.2* and to evaluate possible feedbacks to the hydrological cycle from climate-driven agricultural land use changes.
- Develop and demonstrate an integrated modeling approach to project the impact of climate variations on the agricultural economy in the Northern Great Plains (*objectives 1.4, 1.5 and 1.6*). This work, which demands truly collaborative efforts from multiple disciplines and has never been attempted over the study region, addresses the NSF grand challenge: "climate change prediction to advise regional adaption strategies". The team will apply both statistical- and dynamic modeling-based techniques to detailed agricultural, climate, and hydrological data to determine crop productivity (*objective 1.4*) and agricultural autonomous adaption in response to recent climate trends (*objectives 1.5*). Together these objectives will link environmental, agricultural, economic, and behavioral models to create an integrated modeling system. Further integration will occur through exploratory modeling efforts to investigate possible feedbacks of land use changes from agricultural adaptation on cloud formation and water resource quality (*objective 1.6*).

Goal 2 will be achieved by completing four objectives with benchmark activities that are aimed to:

- Create a sustainable and collaborative infrastructure (human, computational, and instrumental) for regional climate studies. This project integrates previously isolated research activities in ND and enables us, for the first time, to tackle this interdisciplinary research topic that is significant to regional agricultural-based economy and policy making. Objective 2.1 aims to facilitate a collaborative and integrated effort among group members from more than 7 different disciplines at UND, NDSU, PUIs and TCs. Researchers from the two research universities, UND and NDSU, provide core expertise in agricultural economics, atmospheric science, computer science, hydrology, and vocational psychology. New team members from the PUIs and TCs will add more diverse geographical and cultural perspectives, while strengthening research efforts at these institutions and collaborations between institutions.
- Develop robust cyberinfrastructure necessary to support information exchange and collaborative research activities within the Center. To assist CRCS team members, located across the state, in growing, curating, processing, and sharing large climate, agriculture, and other datasets the CI team will develop/upgrade associated cyberinfrastructure, including possible enhancement to High Performance Computing (HPC) file systems (objective 2.4), implementation of the Globus Online GridFTP data transfer tool (objective 2.2), installation of a Relational Database Management System minicloud (objective 2.3), and possible enhancement to the HPC modeling and simulation capabilities (objective 2.4).

**Team Leads:** CRCS Director, **Jianglong Zhang** (Associate Professor, Atmospheric Sciences, UND), is an expert in satellite remote sensing of atmospheric aerosols and data assimilation. The CRCS co-Lead, **Frank Bowman** (Associate Professor, Chemical Engineering, UND), studies atmospheric aerosols and also conducts research on assessment of K-12 STEM outreach.

#### **Team Participants and Partners:**

- **Xuefeng Chu** (Associate Professor, Civil and Environmental Engineering, NDSU) carries out research on the measurement and modeling of multi-scale watershed hydrology and topography.
- Anne Denton (Associate Professor, Computer Science, NDSU) is an expert in data mining of complex environmental and agricultural data sets.
- **Cindy Juntunen** (Professor, Counseling Psychology, UND) studies vocational psychology of rural and underrepresented groups.
- Andrei Kirilenko (Associate Professor, Earth System Science and Policy, UND) is an expert on global and regional impacts of climate change, with a focus on agriculture and land use change.
- **Gretchen Mullendore** (Associate Professor, Atmospheric Sciences, UND) performs numerical modeling of convective cloud dynamics and leads initiatives on climate change.
- **David Roberts** (Assistant Professor, Agribusiness and Applied Economics, NDSU) conducts econometric analysis on the impacts of agricultural production methods on environmental resources.
- **Xiaodong Zhang** (Associate Professor, Earth System Science and Policy, UND) leads research efforts on radiative and water fluxes from surface waters and land.
- **Haochi Zheng** (Assistant Professor, Earth System Science and Policy, UND) studies environmental and natural resource economics.
- Research center members will leverage and interact with all other program components, PUIs, TCs, businesses, local and national media, other potential research partners and governmental agencies.

**Key outcome(s):** Increased statewide physical, human and research assets to further the understanding of regional climate variations on the agricultural economy of the Northern Great Plains.

Table 2. Focus Area 1 Goals, Objectives, Benchmarks and Activities, Output Metrics and Baseline and 5-year Targets

Goal 1: Develop and apply an integrated modeling approach to project the impact of climate variations on the agricultural economy of the Northern Great Plains (NGP).							
Benchmarks/	Y1	Y2	Y3	Y4	Y5		
Activities							
Zhang)	Objective 1.1: Analyze regional climate variations and data uncertainty. (Chu/Kirilenko/Mullendore/J. Zhang/X. Zhang)						
Perform statistical and dynamical downscaling of Coupled Model Intercomparison Project Phase 5 (CMIP5) ensemble.	Acquire regional climate data from CMIP5. Test different methods for statistical and dynamic downscaling (case study).	Apply downscaling on a limited set of data: Test multiple down- scaling methods with one CMIP5 GCM. Inter-compare downscaling for one GCM.	Apply down-scaling to the full dataset (~20 GCMs) and intercompare the results. Inter-compare downscaling for the entire dataset.	Use the results to inform sensitivity testing and adaptation studies.	Continue utilizing results for continuing climate studies.		
Conduct observational based regional climate studies.	Acquire observational- based climate data - both satellite and regional surface observation.	Conduct the studies for selected parameters, including shortand long-wave radiation, temperature and precipitation.	Conduct observational based regional climate studies to the full dataset. Inter-compare with CMIP5 based studies.	Integrate into the CMIP5 based studies.	Continue integration into the CMIP5 studies.		
Make new observations of evapotranspiration (ET).	Set up instruments (scintillometer).	Collect scintillometer measurements for estimating ET.	Ongoing. Compare data with MODIS- ET estimates.	Collect data. Compare data with the IHM model prediction (1.3).	Evaluate needs for additional data.		
Objective 1.2: Pre	dict hydrological ch	anges for extreme of	conditions. (Chu, K	irilenko/J. Zhang/	X. Zhang)		
Identify linkages between climate extremes and large-scale dynamics.	Identify historical extreme drought and flood cases over the NGP study region.	Study potential linkages to Atlantic Multidecadal Oscillations and Pacific Decadal Oscillation	Study potential linkages to other larger scale atmospheric oscillations.				
Estimate effects of climate and land use change on flood potential in Devils Lake watershed.	Test model runs for sample scenarios.	Construct CMIP5 statistical ensemble of GCM projections downscaled for the Devils Lake watershed.	Study linkage between regional climate change, land use change and flood potential in Devils Lake watershed.	Investigate linkages in relation to flood mitigation practices.	Explore linkages in relation to mitigation and adaptation practices.		
Develop a prognostic model for	Collect data (e.g. soil moisture, precipitation	Collect data from GLDAS, PRISM and MODIS.	Collect data from GLDAS, PRISM and MODIS.	Validate new time series model with	Validate new time series model with		

regional drought prediction.	and ET) from GLDAS, PRISM and	Develop time series model for surface soil	Develop time series model for surface soil	IHM model (1.3).	historical drought events.	
Oliveri e 1 2 · A ··	MODIS.	moisture.	moisture.	/D 4 /W /71	. \	
Objective 1.3: Analyze regional climate variations and data uncertainty. (Chu/Denton/X. Zhang)  Develop, Plan for Develop a new Develop Develop a new Calibrate a						
calibrate and validate an improved integrated hydrologic (IHM) model.	development, calibration and validation; review local and regional scales.	algorithm for topographic delineation and modeling.	improved algorithms for IHM model; calibrate and validate at regional and local scales	integrated hydrologic model; calibrate and validate IHM at regional and local scales	validate IHM at regional and local scales.	
Collect precipitation and hydrologic data.	Select site and setup equipment (wireless gauges and sensors).	Collect precipitation (rainfall and snow) data.	Collect data (precipitation, stream/lake, and soil moisture data).	Collect, process and analyze precipitation, stream/lake, and soil moisture data	Data processing and analysis.	
Study impact of hydrology on agricultural variables.			Demonstrate data mining proof of concept model that uses hydrology output.	Establish relationship between hydrology- explicit models and models that use elevation as proxy.	Complete model based on hydrology output.	
			imate change. (Den			
Perform dynamic modeling of crop productivity response with DSSAT software	Review updating DSSAT software to latest version	Start the agriculture part when the climate study is at least 60-percent complete	Demonstrate proof of concept of dynamic modeling of crop productivity response.	Integrate multiple GCMs; identify scenarios of crop change.	Perform model simulations; analyze results; formulate adaptation options based on simulation results.	
Apply large data statistical methods to identify yield response of major crops in the NGP.	Identify preferable aggregation of precipitation data.	Determine preprocessing of precipitation data.	Use climate modeling output in data mining models to extrapolate yield response to future years.			
Use multiple resolutions to build more specific statistical models using dynamic modeling.	Develop proof of concept for window-based techniques using massively available data, in particular elevation and satellite imagery.	Use model to relate yield to input variables based on length scale. Develop proof of concept of zone-based approach.	Integrate water- related variables. Relate yield to input based on zones.	Test water- related conclusions against other models. Account for salinity and water aggregation. Identify opportunities for comparison.	Complete development of models for window-based analysis. Complete models for zone-based analysis. Compare with dynamic modeling.	

Objective 1.5: Predict agricultural autonomous adaptation in response to changing climate and crop productivity. (Juntunen/Roberts/Zheng)						
Perform	Collect public	Compile GIS	Develop			
econometric	GIS, climate,	data: determine	multinomial			
modeling of	economic,	crop planted on	logit/probit or			
crop acreage	USDA, and	each parcel	other discrete			
relation to	USGS data.	annually, 1997-	outcome model			
climate and	Conduct	2013. Identify	to predict crop			
market variables	preliminary	parcel crop	(rotation)			
with data from		rotation	selection on			
the USDA	analysis of					
(NASS CDL,	changes in extent of	changes. Econometrically	each parcel given parcel-			
	various crops,	model historical	specific			
NASS Quick						
Stats, Common	crop prices,	crop changes,	attributes: soil-			
Land Unit	input costs.	crop spot prices	type, ecoregion,			
Boundaries		and major crop	historical			
parcel data),		acreages at state	climate			
USGS		and county	variables, etc.			
(geological and		levels,				
soil-type data),		commodity				
and Bloomberg		futures prices				
database.	~	and input prices		~ .		
Develop	Compile spatial	Empirically	Use the	Complete		
economic land-	data.	identify the	individual	integration with		
use model of	Begin	drivers of land-	economic land-	other models.		
individual	developing	use with various	use model			
landowner	individual land-	spatial datasets	developed			
behavior in	use framework	(NLCD, CDL,	earlier to predict			
response to	using crop	and CRP).	land use change			
climate and	yields data	Continue land-	and agricultural			
market changes.	under various	use framework	profitability.			
	market and	development.	Start integration			
	policy		with IHM,			
	situations.		SWAT, and			
			InVEST.			
Identify the	Conduct 8-12	Validate	Administer	Collect/analyze	Continue to	
psychological,	focus groups to	decision-	decision-	data for	collect/ analyze	
social, and	develop	making	making	integration with	data for	
historical	decision-	assessment	assessment to	other models.	integration with	
factors that	making	instrument.	ND farmers and		models.	
contribute to	assessment item	Collect, analyze	ranchers			
decision making	pool.	and synthesize	through County			
by ND farmers	Collect and	data.	Extension, and			
and ranchers.	analyze		other farm			
	feedback and		organizations.			
	data gathered		Collect and			
	from focus		analyze data for			
	groups and		integration with			
	interviews.		other models.			

Objective 1.6: Exp	plore feedback to en	vironment of land u	se changes. (Bown	nan/Mullendore/J.	Zhang/X. Zhang/
Measure CCN activation of aerosol sources important to ND.	Perform diesel PM experiments. Update chamber model.	Perform crop secondary PM experiments.	Perform oilfield PM experiments.	Perform PM mixture experiments.	
Identify possible feedback of agricultural land use change on aerosols and cloud formation in the NGP with WRF-Chem. Quantify impact of land use change on ecosystem services.	Identify crop emissions. Define base model configuration and scenarios.	Run base case simulations Develop new CCN parameterizations.	Run land use change scenarios. Define cloudaerosol interaction scenarios and numerical approaches. Begin scenario development for coupled economic land use – ecological assessment	Test sensitivity of aerosol and cloud predictions in land use change scenarios to CCN parameterizations.  Complete scenario development. Couple model with InVEST.	Test sensitivity of aerosol and cloud predictions in land use change scenarios to cloud schemes.  Couple model with SWAT. Perform coupled model simulations on scenario.
Evaluate impact of land use change on quality of water resources.		Acquire LISST- VSF meter.	models.  Conduct field measurements.  Develop method to infer water quality parameters from scattering measurements.	Conduct field measurements. Develop inversion model.	Conduct field measurements. Validate inversion results in terms of water quality parameters.

Goal 2: Build C	Goal 2: Build CRCS into a high functioning, interdisciplinary, sustainable regional climate and							
education center that includes the acquisition of state-of-the-art analytical equipment and utilization of								
cyberinfrastructure (CI).								
Benchmarks/	Y1	Y2	Y3	<b>Y4</b>	Y5			
Activities								
Objective 2.1: De	evelop and foster in	teractions between	team (UND and ND	SU) members inclu	iding faculty from			
TCs and PUIs. (J.	Zhang/Bowman)							
Build the	Identify CRCS	Convene monthly	Convene monthly	Ongoing.	Ongoing.			
CRCS team by	team members;	meetings of	meetings of					
holding	hold monthly	CRCS team with	CRCS team with					
meetings that	meetings with	90% attendance.	90% attendance.					
include	90% attendance.	Convene two	Convene two					
postdocs and	Prepare one-page	CRCS video-	CRCS video-					
graduate	summary of	conferences.	conferences with					
students.	CRCS research		all partners to					
	and distribute to		discuss science.					
	TCs and PUIs.							
Objective 2.2: Develop effective access to file storage through implementation of the Globus Online GridFTP data								
transfer tool at the NDSU site to transfer files between NDSU and UND. File transfer between the HPC sites at								
NDSU and UND	will also be handled	by Secure Copy Pr	otocol (SCP). (Berg	strom/ Ossowski)				
Globus online	Determine data	Develop	Ongoing.	Ongoing.	Ongoing.			
data transfer	transfer needs	efficient						
tool.		implementation						

Objective 2.3: De	of CRCS researchers.	of Globus Online Grid FTP. Relational Databas	e Management Sysi	tem (RDBMS) mini	cloud at NDSU in			
Objective 2.3: Design and build local Relational Database Management System (RDBMS) minicloud at NDSU in support of collaborative activities within the UND CRCS research cluster. ( <b>Denton/Bergstrom/Ossowski</b> )								
Develop RDBMS.	Determine available funding for the RDBMS minicloud, and the appropriate location for where it will be hosted.	Architect, build, and maintain RDBMS minicloud.	Ongoing.	Ongoing.	Ongoing.			
Objective 2.4: Procure a limited amount of HPC equipment needed for modeling and simulation activities.								
(Bergstrom/Osso Procure HPC equipment.	Determine need for additional HPC equipment. Determine available funding for HPC equipment. Make purchase decision.	Procure equipment as needed.	Ongoing.	Ongoing.	Take stock of equipment condition and refresh previously purchased equipment if needed and budgets allow.			

# **CRCS Output Metrics**

Metrics (Where baseline data is available, it is represented as an initial measure of this metric; where it is not, the Year 1 projection is used and denoted with an *)	Baseline or Year 1 projection*	Five-Year Cumulative Targets
	Data	
Number of statistically downscaled Global Climate Models (GCM) for the Northern Great Plains	2*	20
Number of dynamically downscaled Global Climate Models (GCM) for the Northern Great Plains	2*	20
Number of new algorithms or models for understanding parts of regional climate variation	1*	7
Number of integrations of Northern Great Plains data with widely used data sets	*	3
Number of farmer focus groups	10*	10
Number of farmers surveyed	48*	1048
Globus Online Implementation	Determine need*	Integrated
RDBMS minicloud	Match needs to funding*	Developed
HPC Equipment for CRCS activity	Determine need*	Integrated
Total number of peer-review publications	50	93
Number of collaborative peer-review publications (more than one co-author)	21	60
Number of peer-reviewed publications with TC and/or PUI co-authors	*	5
Number of conference presentations	51	90
Total number of submitted research proposals	12	71
Number of submitted collaborative proposals	11	31
Number of submitted research proposals (collaborative research between UND and NDSU)	6	9
Number of collaborative proposals with TC and/or PUI co-investigators	*	6
Total external research funding (million \$) – 5-year total is cumulative	\$1.0	\$4.0**
Number of active grants (collaborative Research between UND and NDSU)	3	9
Number of postdoctoral Students trained	1*	10
Number of graduate students trained	12	70
Number of undergraduate students trained	5	36
Funding for TC faculty to participate in the research centers (ND has 5 TCs – efforts are currently underway, via campus visits to learn which TC faculty members wish to work with the CRCS or CSMS as cluster members; funds represent total funding to TCs)	\$100,000*	\$530,915
Seed grant awards for PUI faculty to participate in the research center (ND has 4 PUIs—efforts are currently underway with the ND University System to identify PUI faculty members you wish to work with the CRCS or CSMS as cluster members; funds represent total funding to PUIs)	\$0*	\$531,000

<sup>\*\*</sup> CRCS's long-term sustainability target is \$1 million per year in new funding

#### 3.6 Focus Area 2-Center for Sustainable Materials Science (CSMS)

**Strategic Priority:** North Dakota seeks to advance new discoveries of new bio-based, sustainable materials that give more consideration to the environment and contribute to its economy through their sourcing (low cost, renewable), durable lifetimes (long, high durability), and recyclability (efficient, high value).

#### Goals:

- 1. Develop and launch a transformative approach to the development of sustainable materials derived from agricultural materials as a means to replace petrochemical polymeric materials in day-to-day use.
- 2. Build CSMS into a high functioning interdisciplinary, sustainable research team that includes new faculty hires, acquisition of state of the art analytical equipment and utilization of cyberinfrastructure (CI) for the purpose of efficiently processing data, quickly analyzing results, and securely transmitting data between groups.

**Objectives to Achieve Goals:** To achieve Goal 1, the CSMS team has identified 11 objectives with benchmark activities that are aimed to:

- Engage researchers having complementary areas of expertise via synergistic collaborations in order to fully realize the overall goal of designing new polymers and composites from renewable raw materials that have the required performance properties to replace petrochemical materials and in some cases also be capable of being reverted back to starting materials after their useful lifetime is over. Goal 1 begins with chemicals obtained from biomass such as sugars, cellulose, lignin, or seed oils, these chemicals are then transformed into the building blocks for polymers (monomers, oligomers, etc.) through chemical reactions (objectives 1.1 and 1.2).
- Synthesize polymers both thermoplastics (objectives 1.3 and 1.4) and thermosets (objective 1.5) from these building blocks. The polymers will be thoroughly characterized and benchmarked against petrochemical counterparts. Composites will then be prepared using the bio-based polymers as matrix resins using bio-based fibers and novel bio-based nanofibers as filler materials (objectives 1.6, 1.7 and 1.8). A main component in sustainability is in understanding the fate of the materials at the end of their useful lifetime. Thus, a key aspect of our program is to devise processes that enable materials to be reverted back to starting materials at the end of their useful life using a specific triggering mechanism. Specific triggerable components that can function as monomers will be synthesized (objective 1.9) and incorporated into the bio-based polymers (objective 1.10). The impact of these monomers on the overall properties of the polymers will be assessed, as will the mechanism of the degradation of the polymer when excited by the trigger.
- Develop novel triggers derived from biomass, so that a substantial portion of the polymer is derived from bio-based raw materials. The ability to take the degradation products and re-synthesize new polymers will be demonstrated. Finally, bio-based polymers containing the triggerable component will be used in the preparation of composites and the ability to degrade the composite and recover both monomers and fiber fillers will be demonstrated (*objective 1.11*).

Goal 2 will be achieved by completing five objectives with benchmark activities that are aimed to:

- Create a sustainable and collaborative infrastructure (human, computational, and instrumental) for sustainable materials science. This project integrates previously isolated research activities in ND and enables us, for the first time, to tackle this interdisciplinary research topic that is significant to ND. *Objectives 2.1* and 2.2 aim to facilitate a collaborative and integrated effort among group members from across disciplines at UND, NDSU, PUIs and TCs, which is to be greatly strengthened by the hiring of four additional faculty members (*objective 2.3*). Researchers from the two research universities, UND and NDSU, provide core expertise. Team members from the PUIs and TCs will add more diverse geographical and cultural perspectives, while strengthening research efforts at these institutions and collaborations between institutions using newly acquired analytical equipment (*objective 2.4*).
- Develop robust cyberinfrastructure necessary to support information exchange and collaborative research activities within the Center. The CI team will develop/upgrade cyberinfrastructure, including possible enhancement to High Performance Computing (HPC) file systems (objective 2.6), implementation of the Globus Online GridFTP data transfer tool (objective 2.5), and possible enhancement to the HPC modeling and simulation capabilities (objective 2.6).

**Team Leads:** CSMS Director, **Dean Webster** (Professor and Chair, Coatings and Polymeric Materials, NDSU) leads research in polymer synthesis, coatings, and polymer structure-property relationships and the use of high throughput methods. The CSMS co-Lead, **Mukund Sibi** (Distinguished Professor, Chemistry and Biochemistry, NDSU), has expertise in catalysis and organic synthesis.

#### **Team Participants and Partners:**

- **Bret Chisholm** (Center for Nanoscale Science and Engineering, NDSU) brings polymer synthesis expertise to the team, managing the Combinatorial Materials Research Laboratory.
- Qianli "Rick" Chu (Assistant Professor, Chemistry, UND) focuses on the synthesis of nanofibers from bio-based monomers.
- **Guodong Du** (Associate Professor, Chemistry, UND) targets catalytic and stereoselective synthesis of biodegradable polymers that are based on renewable raw materials.
- **Sivaguru Jayaraman** (Professor, Chemistry/Biochemistry, NDSU) studies photochemistry, organoand supramolecular photocatalysis, molecular recognition and photodegradation.
- Erika Offerdahl (Associate Professor, Biological Sciences, NDSU) is a STEM education specialist.
- **Chad Ulven** (Associate Professor, Mechanical Engineering, NDSU) studies the field of composites, with an emphasis on using naturally-occurring fibers as fillers.

The research center members will place an emphasis on leveraging existing North Dakota talent with proven track records of creativity and productivity. In addition, leveraging and interactions will be implemented with all other program components, the primarily undergraduate state universities, tribal colleges, businesses, local and national media, other potential research partners and governmental agencies.

**Key Outcome:** Increased state-wide physical, human and research assets to progress the development of a sustainable bio-based materials supply chain.

Table 3. Focus Area 2 Goals, Objectives, Benchmarks and Activities, Output Metrics and Baseline and 5-year Targets

Benchmarks/Act		eans to replace petr Y2	Y3	Y4	Y5
Objective 1.1: Sy	nthesize novel mon	omers from biomass	s. (Sibi/New Hire)		
Build a library	Continue	Synthesize new	Synthesize chain	Synthesize new	Synthesize
of bio-based	synthesis of	diol and	extended analogs	terephthalic acid	HMF-dimer,
monomers for	HMF-derived	diaminemonomers.	of FDCA.	analogs.	analog mod./
use in a variety	monomers.				new monomers.
of polymer					
systems.					
		ctional thermosettin			
Build a library	Ongoing	Synthesize and	Identify new core	Identify new	Continue work
of novel bio-	activities to	characterize	molecules and	methods of	with most
based polymers	synthesize and	carbonated	synthesize	increasing the	promising
having different	characterize new	sucrose soyate	vegetable oil	functionality of	approach for
useful functional	methacrylate	resins.	ester resins.	bio-based resins.	achieving
groups.	functionalized				exceptional
(Webster)	sucrose soyate				performance
G .1 .	resins.	T 1	0 1 1 1	0 1 1	properties.
Synthesize new	Use known	Evaluate the	Synthesize and	Synthesize and	Optimize
vinyl ether	synthetic	utility of plant	characterize	characterize	polymers to
monomers from	procedures to	oil-based poly	novel poly (vinyl	polymers derived	obtain best
biomass derived	synthesize and	(vinyl ether)s for	ether)s based on derivatives of	from acrylate and	performance
chemicals.	characterize	potential end-use		methacrylate	properties
(Chisholm)	novel plant oil- based vinyl	applications.	hydroxymethyl- furfural.	monomers based on	(stiffness, strength, etc.).
	ethers.		Turrurar.	hydroxymethyl-	strength, etc.).
	eulers.			furfural and/or its	
				derivatives.	
Objective 1.3: I	I Ingineer high nerfo	rmance polymers ar	nd composites from		erials Benchmark
		nical counterparts. (		oro oused raw man	eriais. Benemiark
Synthesize new	Use FDCA and	Continue effort	Continue effort	Characterize	Continue effort
polyamides	other novel bio-	with new	with new	physical and	with new
derived from	based monomers	monomers as	monomers; use	mechanical	monomers as they
			· · · · · · · · · · · · · · · · · · ·	properties of the	become available
novel bio-based	(Sibi) to	they become	FDCA and other	polymers.	out of #1.1.
novel bio-based monomers and	(Sibi) to synthesize	they become available.	FDCA and other novel based		Out of hir.i.
	(Sibi) to synthesize polyamides.	•			out of will.
monomers and	synthesize	•	novel based		out of will.
monomers and synthesize new	synthesize polyamides.	•	novel based monomers to		
monomers and synthesize new polyesters made	synthesize polyamides. Characterize	•	novel based monomers to synthesize		
monomers and synthesize new polyesters made from bio-based monomers.	synthesize polyamides. Characterize physical and mechanical properties.	available.	novel based monomers to synthesize polyesters.		
monomers and synthesize new polyesters made from bio-based monomers.  Objective 1.4: Synthesize and synthesize new polyesters made from bio-based monomers.	synthesize polyamides. Characterize physical and mechanical properties. hthesize bio-based p	available.	novel based monomers to synthesize polyesters.		
monomers and synthesize new polyesters made from bio-based monomers.	synthesize polyamides. Characterize physical and mechanical properties. athesize bio-based p	available.  polymers using "green Produce	novel based monomers to synthesize polyesters. en" catalyst systems Synthesize block	Develop and	Synthesize new
monomers and synthesize new polyesters made from bio-based monomers.  Objective 1.4: Synthesize and use novel	synthesize polyamides. Characterize physical and mechanical properties.  https://doi.org/10.0000/10.000000000000000000000000000	available.  polymers using "greed Produce polyesters	novel based monomers to synthesize polyesters. en" catalyst systems Synthesize block copolymers and	Develop and synthesize new	Synthesize new degradable
monomers and synthesize new polyesters made from bio-based monomers.  Objective 1.4: Synthesize and use novel inorganic	synthesize polyamides. Characterize physical and mechanical properties.  thesize bio-based p Synthesize binucleating ligands and	available.  oolymers using "greet Produce polyesters incorporating bio-	novel based monomers to synthesize polyesters. en" catalyst systems Synthesize block copolymers and evaluate	Develop and synthesize new ligands and	Synthesize new degradable polymers from
monomers and synthesize new polyesters made from bio-based monomers.  Objective 1.4: Synthesize and use novel inorganic catalysts for	synthesize polyamides. Characterize physical and mechanical properties.  thesize bio-based p  Synthesize binucleating ligands and catalysts for	oolymers using "greed Produce polyesters incorporating biobased epoxides	novel based monomers to synthesize polyesters. en" catalyst systems Synthesize block copolymers and	Develop and synthesize new ligands and catalysts for	Synthesize new degradable polymers from bio-based
monomers and synthesize new polyesters made from bio-based monomers.  Objective 1.4: Synthesize and use novel inorganic catalysts for polymer	synthesize polyamides. Characterize physical and mechanical properties. hthesize bio-based p Synthesize binucleating ligands and catalysts for polycarbonate	polymers using "green polyesters incorporating biobased epoxides and cyclic	novel based monomers to synthesize polyesters. en" catalyst systems Synthesize block copolymers and evaluate	Develop and synthesize new ligands and catalysts for stereoselective	Synthesize new degradable polymers from bio-based building blocks
monomers and synthesize new polyesters made from bio-based monomers.  Objective 1.4: Synthesize and use novel inorganic catalysts for	synthesize polyamides. Characterize physical and mechanical properties.  thesize bio-based p  Synthesize binucleating ligands and catalysts for	oolymers using "greed Produce polyesters incorporating biobased epoxides	novel based monomers to synthesize polyesters. en" catalyst systems Synthesize block copolymers and evaluate	Develop and synthesize new ligands and catalysts for	Synthesize new degradable polymers from bio-based

Objective 1.5: Pro	enare bio-based the	rmosets and charact	terize for physical p	roperties. Benchma	ark against current			
	hemical counterpar		pulse for pulseour p	roperties. Benefities	arii agaiiist variviit			
Synthesize	Combine	Crosslink new	Crosslink	Use novel HMF	Characterize			
novel high	available bio-	bio-based resins	carbonated	based monomers	corrosion of new			
performance	based epoxy	with bio-based	sucrose ester	(Sibi) as	thermosets			
thermosets	resin with bio-	and	resin with novel	crosslinkers for	performance in			
useful for	based	petrochemical	bio-based	bio-based resins.	coatings as well			
coatings and	crosslinkers	crosslinkers and	diamines and	Characterize for	as weathering			
composites.	(Sibi) and	characterize	characterize	physical and	durability using			
1	prepare and	thermosets for	properties.	mechanical	QUV or xenon			
	characterize	physical and		properties.	arc for			
	thermosets.	mechanical			moisture/heat			
	Benchmark	properties.			FTIR, etc.			
	against petro-				methods for			
	chemical				degradation			
	thermosets.				mechanism.			
Objective 1.6: Us	se bio-based polyme	ers in the preparation	on of composites. B	Benchmark against o	current appropriate			
•	nterparts. (Webster	(/Ulven)			11 1			
Study and	Provide bio-	Study and	Provide new	Continue to	Continue to			
optimize curing	based resins	optimize cure	bio-based	refine and	refine and			
kinetics of	from Webster to	kinetics of bio-	polymer systems	optimize resin	optimize resin			
newly	Ulven group for	based resin	from Webster to	compositions	compositions			
developed resins	formulation of	system for use	Ulven group for	and cure	and cure kinetics			
prior to	resin systems	in composites.	use in	kinetics for use	for use in			
composite	for composites.		preparation of	in composite	composite			
manufacturing.	Prepare initial		composites.	systems.	systems.			
	composites.		Characterize					
	Determine		new composites					
	physical and		for physical and					
	mechanical		mechanical					
	properties.		properties.					
		roperties of compos nical counterparts. (		o-based fillers and f	ibers. Benchmark			
Develop new	Evaluate both	Tailor fiber	Evaluate	Model long term				
totally bio-based	physical and	sizing or	composites	performance of				
composite	mechanical	treatment	through	optimized bio-				
systems.	properties of	approaches to	freeze/thaw,	composites				
systems.	composites from	improve	UV, and	using known				
	natural fibers.	interfacial load	humidity	micro-				
	natural Hocis.	transfer between	exposure.	mechanical				
		natural fibers	caposure.	models for				
		and bio-based		synthetic				
		polymers.		composites.				
Objective 1.8: Svi	l nthesize novel bio-h	polymers.	ments. (Chu)	composites.				
Develop new	Synthesize and	Synthesize and	Evaluate the bio-	Synthesize and	Evaluate the 3D			
types of bio-	characterize bio-	characterize bio-	based ladder and	characterize bio-	polymers in			
based	based polymeric	based 2D	2D polymers in	based 3D	coatings as			
reinforcements	ladders.	polymers.	coatings as	polymers.	crosslinking			
for bio-based			crosslinking		agents (with			
polymers.			agents (with		Webster group).			
1			Webster group).		8			
•	Objective 1.9: Design materials with programmed degradation capability so that raw materials and fillers can be							
recycled. (Sivagua	ru/Sibi)							
•	_	Explore routes to the synthesis	Synthesize and evaluate photo-	Broaden approaches to	Elucidate the mechanism of			

and photoinitiators that can be incorporated into polymers; synthesize building blocks that can be used to trigger polymer	conduct degradation and mechanistic studies of phototriggers.	triggers from biomass and evaluate approaches.	their ability to photoinitiate radical polymerization derived from biomass.	phototriggers and photoinitiators from biomass and evaluate.	degradation of photo-triggers using photo-physical characterization methods.
degradation.		1 1 '11' 11 1			
		lity. (Webster/Siva	into polymers and	thermosets in order	to yield polymers
Synthesize	degradation capabii	Incorporate new	Incorporate	Incorporate	Demonstrate
polymers that		phototriggers	novel bio-mass-	biomass-derived	re-use of
can be degraded		into additional	derived	phototriggers	degradation
using light.		polymer types.	phototriggers	into thermo-	products in
8 8		Characterize the	into polymers	setting resin	synthesis of new
		photo-	and study photo-	systems and	polymers.
		degradation of	degradation.	study photo-	
		polymers		degradation.	
		containing the			
01: 4: 1.11.1	. 1 . 1	phototriggers.	•	/T11 /TT1 1	/g·1 · /g·
	corporate photodegi	radable polymers in	to composite systen		
Develop composites that				Use polymer containing	Study the degradation of
can be degraded				phototriggers in	the composites
using light in				the preparation	and demonstrate
order to recover				of composites	the recovery of
reinforcing				using natural	reinforcing
fibers.				fibers.	natural fibers.
G 14 D 21 GG	MC 1 1 1 C	4	• 1•		1 4 1 1
			riplinary, sustainal equipment and uti		
			dy analyzing resu		
between groups.		<b>3</b> , <b>1</b>		,	
Benchmarks/	Y1	Y2	Y3	Y4	Y5
Activities					
TCs and PUIs. (W	ebster/Sibi)		eam (UND and ND		
Build the CSMS	Identify CSMS	Convene monthly	Convene monthly	Ongoing	Ongoing
team by holding	team members;	meetings of	meetings of		
meetings that	hold monthly	CSMS team with	CSMS team with		
include postdocs	meetings with	90% attendance.	90% attendance.		
and graduate students.	90% attendance;	Convene two CSMS video-	Convene two CSMS video-		
students.	prepare one-page summary of	conferences.	conferences with		
	CSMS research	comercines.	all partners to		
	and distribute to		discuss science.		
	TCs and PUIs.				
Objective 2.2: Dev		interdisciplinary pr	ojects among CSMS	S team members. (V	Vebster/Sibi)
Collaborative		Execute work	Continue	Submit at least	Develop plan for
projects with	Develop time-				
1 0	based work plans	plan and assess	proposal writing	one center-type	continued

of phototriggers; of novel photo- initiators for

alternative

photo-

phototriggers

joint proposal	collaborative	CSMS team	for center-type	results, amend	projects and
submissions.	project.	meetings.	proposal.	plan if needed.	proposal writing.
Objective 2.3: Stre (Sibi/Webster)	engthen CSMS research	arch infrastructure w	vith four new hires (	hiring plan is in the	appendix section).
	Durana	C	C	Communication of	Resubmission of
Hire four new	Prepare	Synthetic organic	Synthetic organic	Computational	
faculty members	descriptions of	chemist and	chemist and	polymer scientist	CAREER
and support	positions.	polymer scientist	polymer scientist	and scientist with	proposals that
their integration	Initiate searches,	start FA15, attend	submits	expertise in life	were not
into the CSMS	interview, select	CSMS	CAREER	cycle assessment	awarded. Review
team.	faculty, and hire	orientation.	proposal. New	submit CAREER	of tenure and
	two: One	Confirm mentors,	computational	proposals. All	promotion status.
	synthetic organic	both establish	polymer scientist	new hires attain	Plan constructed
	chemist and one	goals and	and scientist with	90% of their	for support
	polymer scientist.	performance	expertise in life	performance	through tenure
		measure. Prepare	cycle assessment	measures	and promotion
		for hiring	start in FA16.	Plans reviewed	attainment.
		computational		and revised if	Review of
		polymer scientist.		needed.	performance.
	quire needed analyti				
Identify and	Determine	Initiate purchases	Develop	Document usage,	Include additional
prioritize	purchasing	and complete	protocols for	maintain	equipment in
CSMS team	budget and meet	those that are	sharing analytical	equipment and	CSMS research
needs for	with CSMS team	started. Train	equipment.	plan for	proposals; plan
supporting new	members to	users (faculty,		additional	for the future.
analytical	develop time-	students and		equipment.	
capabilities;	based plan.	postdocs).			
acquire					
equipment,					
make					
operational and					
utilize.					
dulizo.					
Objective 2.5: De	velop effective acce				
Objective 2.5: Determined the transfer tool at the	e NDSU site to trar	nsfer files between	NDSU and UND. F	ile transfer between	
Objective 2.5: Determine the Company of the Company	e NDSU site to trar will also be handled	sfer files between by Secure Copy Pr	NDSU and UND. Fotocol (SCP). ( <b>Berg</b>	File transfer between strom/Ossowski)	n the HPC sites at
Objective 2.5: Determine transfer tool at the NDSU and UND Globus Online	e NDSU site to trar will also be handled Determine data	by Secure Copy Properties  Develop efficient	NDSU and UND. F	ile transfer between	
Objective 2.5: Determine transfer tool at the NDSU and UND Globus Online data transfer	e NDSU site to trar will also be handled Determine data transfer needs of	by Secure Copy Pr Develop efficient implementation	NDSU and UND. Fotocol (SCP). ( <b>Berg</b>	File transfer between strom/Ossowski)	n the HPC sites at
Objective 2.5: Determine transfer tool at the NDSU and UND Globus Online	e NDSU site to transwill also be handled Determine data transfer needs of CSMS	by Secure Copy Pr Develop efficient implementation of Globus Online	NDSU and UND. Fotocol (SCP). ( <b>Berg</b>	File transfer between strom/Ossowski)	n the HPC sites at
Objective 2.5: Determine tool at the NDSU and UND Globus Online data transfer tool.	e NDSU site to transwill also be handled Determine data transfer needs of CSMS researchers.	by Secure Copy Property Develop efficient implementation of Globus Online Grid FTP.	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.	Ongoing.
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro	e NDSU site to transwill also be handled Determine data transfer needs of CSMS researchers.	by Secure Copy Property Develop efficient implementation of Globus Online Grid FTP.	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.	Ongoing.
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso	e NDSU site to trar will also be handled Determine data transfer needs of CSMS researchers. ocure a limited amou wski)	by Secure Copy Properties Develop efficient implementation of Globus Online Grid FTP.	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  activities.
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso)  Procure HPC	e NDSU site to trar will also be handled Determine data transfer needs of CSMS researchers. ccure a limited amou wski) Determine need	by Secure Copy Pr Develop efficient implementation of Globus Online Grid FTP. ant of HPC equipme	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.	Ongoing.  Ongoing.  activities.
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso	e NDSU site to trar will also be handled Determine data transfer needs of CSMS researchers. cure a limited amou wski) Determine need for additional	by Secure Copy Properties Develop efficient implementation of Globus Online Grid FTP.	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  Ongoing.  activities.  Take stock of equipment
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso)  Procure HPC	Determine data transfer needs of CSMS researchers.  Determine data transfer needs of CSMS researchers.  Determine need for additional HPC equipment.	by Secure Copy Pr Develop efficient implementation of Globus Online Grid FTP. ant of HPC equipme	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  Ongoing.  activities.  Take stock of equipment condition and
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso)  Procure HPC	Determine data transfer needs of CSMS researchers.  Determine data transfer needs of CSMS researchers.  Determine need for additional HPC equipment. Determine	by Secure Copy Pr Develop efficient implementation of Globus Online Grid FTP. ant of HPC equipme	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  Cativities.  Take stock of equipment condition and refresh previously
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso)  Procure HPC	Determine data transfer needs of CSMS researchers.  Determine data transfer needs of CSMS researchers.  Determine need for additional HPC equipment. Determine available funding	by Secure Copy Pr Develop efficient implementation of Globus Online Grid FTP. ant of HPC equipme	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  Ongoing.  activities.  Take stock of equipment condition and refresh previously purchased
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso)  Procure HPC	Determine data transfer needs of CSMS researchers. Determine need amount a limited amount wski) Determine need for additional HPC equipment. Determine available funding for HPC	by Secure Copy Pr Develop efficient implementation of Globus Online Grid FTP. ant of HPC equipme	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  Take stock of equipment condition and refresh previously purchased equipment if
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso)  Procure HPC	Determine data transfer needs of CSMS researchers.  Determine need amount a limited amount wski)  Determine need for additional HPC equipment. Determine available funding for HPC equipment.	by Secure Copy Pr Develop efficient implementation of Globus Online Grid FTP. ant of HPC equipme	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  Take stock of equipment condition and refresh previously purchased equipment if needed and
Objective 2.5: Determined the NDSU and UND of Globus Online data transfer tool.  Objective 2.6: Pro (Bergstrom/Osso)  Procure HPC	Determine data transfer needs of CSMS researchers. Determine need amount a limited amount wski) Determine need for additional HPC equipment. Determine available funding for HPC	by Secure Copy Pr Develop efficient implementation of Globus Online Grid FTP. ant of HPC equipme	NDSU and UND. Fotocol (SCP). (Bergongoing.	File transfer between strom/Ossowski) Ongoing.  ling and simulation	Ongoing.  Take stock of equipment condition and refresh previously purchased equipment if

# **CSMS Output Metrics**

Metric (Where baseline data is available, it is represented as an initial measure of this metric; where it is not, the Year I projection is used and denoted with an *)	Baseline or Year 1 projection* Data	Five-Year Cumulative Targets
Number of new synthesized new monomers	10*	40
Number of new thermoset polymers	5*	20
Number of new synthesized vinyl ether monomers	5*	18
Number of synthesized new polyamides	5*	12
Number of synthesize new polyesters	*	12
Number of synthesize inorganic catalysts	5*	18
Number of high performance thermosets evaluated	3*	12
Number of resin formulations developed	3*	12
Number of bio-based composites	3*	10
Number of synthesized reinforcement polymers	5*	15
Number of synthesized phototriggers-photo initiators	5*	15
Number of new polymers for photodegradation studies	*	12
Number of composites for photodegradation studies	*	6
Globus Online Implementation	Determine need*	Integrated
HPC Equipment for CSMS activity	Determine need*	Integrated
Number of submitted collaborative proposals	3	15
Number of new hires	2*	4
Number of CAREER proposals submitted	*	2-4
Number of new analytical instruments acquired	*	2
Number of postdoctoral associates trained	2	7
Number of graduate students trained (some may be counted in multiple years)	11	25
Number of undergraduate students trained	8	40
Number of individual peer-reviewed publications	14	48
Number of collaborative peer-reviewed publications with TC and/or PUI faculty	5	15
Number of conference presentations by faculty (oral and poster)	5	40
Number of conference presentations by graduate students and postdocs (oral and poster)	13	63
Number of conference presentations by undergraduate students (oral and poster)	5	40
Percentage of all publications in high-impact journals	10%*	25%
Number of cumulative citations	5*	275
Number of invention disclosures submitted	3	10
Number of projects funded with private sector partners (includes SBIR/STTR)	*	12
Funding for TC faculty to participate in the research centers (ND has 5 TCs – efforts are currently underway, via campus visits to learn which TC faculty members wish to work with the CRCS or CSMS as cluster members; funds represent total funding to TCs)	\$100,000*	\$530,915
Seed grant awards for PUI faculty to participate in the research center (ND has 4 PUIs—efforts are currently underway with the ND University System to identify PUI faculty members you wish to work with the CRCS or CSMS as cluster members; funds represent total funding to PUIs)	\$0*	\$531,000

#### 3.7 Focus Area 3-Diversity

**Strategic Priority:** Build on the success of our Nurturing American Tribal Undergraduate Research and Education (NATURE) programs by continuing to nurture American Indian students throughout their undergraduate and graduate work; particularly in STEM areas. Continue and expand supportive connections between research themes and underrepresented communities, including female participation in STEM.

#### Goals:

- 1. Build on the success of our K-12 NATURE programs by continuing to nurture Native American students throughout their undergraduate and graduate work by advancing the collaborative relationship with the 5 North Dakota Tribal Colleges (TCs) and by building on our collaboration with PUIs to strengthen the partnership, thereby resulting in increased research participation from both of those groups.
- 2. Develop new initiatives that result in Native student retention and completion in STEM areas.
- 3. Partner with existing campus groups to expand efforts to increase participation of women in STEM; with specific focus on women in science and engineering (ND-WISE) initiatives.

**Objectives to Achieve Goals:** Advancing the collaborative relationship between research universities and the North Dakota Tribal Colleges requires a tribal college liaison focused on improving interactions between the two (*objective 1.1*). Additionally, for the clusters to achieve increased research participation from TCs and PUIs, information gathering and dissemination are critical (*objective 1.2*) and should be facilitated by the tribal college liaison (for TCs) and project co-directors (for PUIs). The interactions and integrations between research clusters and NATURE Sunday Camp and Summer Camp serve to stimulate interests in the themes of the clusters leading to increased research participation from American Indian students. Due to limited resources (*objective 1.3, 1.4*), American Indian students need help to be academically successful, particularly at the doctoral level. Support systems such as Native American advisers, tutoring programs, and fellowships/assistantships are crucial for enhancing Native American student retention and completion in STEM areas from undergraduate to Ph.D. levels (*objectives 2.1, 2.2*).

Similar to American Indian students, support systems including seed/position funding and mentoring programs increase the number of women faculty in STEM areas and women faculty role models through NATURE Sunday Academy and Summer Camp (*objective 3.2*). As a result, this is expected to lead to higher retention of women faculty and students in STEM areas, and more successful promotion and tenure cases for women faculty (*objective 3.1*).

**Team Leads:** Diversity team lead, **Eakalak Khan** (Professor Civil Engineering, NDSU) serves as the ND EPSCoR NATURE Coordinator and is the Director of the NDSU Environmental & Conservation Science Program with research interests in water and wastewater. The Diversity co-Lead, **Chad Ulven** (Associate Professor, Mechanical Engineering, NDSU) serves as the NATURE Sunday Academy Coordinator and is a member of the CSMS group.

#### **Team Participants:**

- Mark Hoffmann (ND EPSCoR Associate Project Director) serves as a team/EPSCoR leadership facilitator for the team, specifically its UND members.
- **Jean Ostrom-Blonigen** (ND EPSCoR Project Administrator) serves as a team/EPSCoR leadership facilitator for the team, specifically its NDSU members and will fill the role of the Tribal Colleges Liaison Manager until someone is hired.

- Robert Pieri (Professor, ME, NDSU) coordinates NATURE University and Tribal College Summer Camps
- **TBD** (ND EPSCoR Tribal Colleges Liaison) provides liaison expertise between NDSU, UND and the TCs for all facets of the program.
- **TBD** (ND EPSCoR Project Assistant, NDSU) provides administrative supports including but not limited to hiring/terminating employees, payrolls, travel vouchers, purchasing and reimbursements, billeting, catering requests, and motor pool reservations.
- **All members** of both research clusters will be expected to engage in the activities outlined in the table below. The specific activity will determine the linked faculty member.
- Cankdeska Cikana Community College administrators, faculty and students.
- Fort Berthold Community College— administrators, faculty and students.
- **Sitting Bull College** administrators, faculty and students.
- Turtle Mountain Community College administrators, faculty and students.
- United Tribes Technical College administrators, faculty and students.

**Key outcomes**: 1) Increased climate and agro-economy scientific capacity within the tribal communities; 2) Increased number of American Indians completing STEM degrees; and 3) Increased number of women STEM faculty at NDSU and UND.

<u>Status of Tribal Colleges Liaison Manager position</u>: As of 1/15/15, the Tribal Colleges Liaison Manager position description, developed in conjunction with the TC presidents, was under review by NDSU's Recruiting Office. Once approved, the position opening will be advertised for 10 days and the screening process will begin. Search committee members include:

- Chair: Jean Ostrom-Blonigen, ND EPSCoR Program Administrator, NDSU
- Mark Hoffmann, ND EPSCoR Associate Program Director, UND
- Jaclynn Wallette, Assistant VP for Equity, Diversity, and Global Outreach, NDSU
- Leigh Jeanotte, Director, American Indian Student Services, UND
- Jean Janecek-Hartman, Director, ND Association of Tribal Colleges

Table 4. Focus Area 3 Goals, Objectives, Benchmarks and Activities, Output Metrics and Baseline and 5-Year Targets

students through	the success of out out their undergrad ota Tribal Colleges	duate and graduate	work by advancir	ng the collaborative	relationship with
	eby resulting in inc				
Benchmarks/	Y1	Y2	Y3	Y4	Y5
Activities					
	re a tribal college li			the research univer	rsities (NDSU and
	s. (Tribal College I				1
Tribal College Liaison Position is filled.	Develop plan by engaging stakeholders and hire liaison.	Retain liaison with satisfactory reviews of performed duties.	Ongoing.	Ongoing.	Retain liaison with satisfactory reviews and institutionalize position.
Tribal College Liaison: Growing the research.	Meet with research center leads and/or liaisons.	Identify 5 TC faculty interested in engaging in CRCS or CSMS complementary research	Add Cohort 1 undergraduate researchers.	Add Cohort 2 UG researchers.	Add Cohort 3 UG researchers.
Tribal College Liaison: Communication.	Develop a TC EMPOWERED- ND communication.	Communicate on state stage.	Communicate on state and national stages.	Write policy paper describing communication efforts of EMPOWERED- ND.	Champion broader EPSCoR and TC interaction.
	ther and disseminate College Liaison/Ost			rate TC and PUI par	rticipants into their
EMPOWERED- ND Corps (in- person or online IVN meetings) to assess progress and review/revise implementation plans.	Appoint cluster personnel. Hold initial meeting.	Host semiannual face-to-face meetings at central ND college location (an IVN option will be available for each of these meetings).	Ongoing.	Ongoing.	Ongoing.
Continuously gather program and funding information to aid in ongoing decisionmaking.	Inventory current program pitfalls; target and eliminate overlap. Identify and leverage existing funding. Recommend new programs to further engage and broaden participation.	Provide feedback to researchers to ensure continued participation. Update baseline inventories. Identify and leverage existing funding. Recommend new programs.	Ongoing.	Ongoing.	Ongoing.

Disseminate information.	Host semiannual meetings with ND EPSCoR Steering Committee.	Ongoing.	Ongoing.	Ongoing.	Ongoing.
			o university summe Tribal College Lia		to enhance student
Perform student tracking.	Develop measurement tool for longitudinal study.	Implement by cohort and assess effectiveness.	Ongoing, with tool modifications as necessary.	Ongoing.	Ongoing.
Track research cluster involvement and demographics	Track faculty, postdocs and graduate students	Ongoing.	Ongoing.	Ongoing.	Ongoing.
Collaborate with cluster research liaisons at summer camp planning development lessons	Collect tracking data of cluster research related lessons developed, and faculty involved.	Ongoing.	Ongoing.	Ongoing.	Ongoing.
	ncrease the integra hang/Webster/Tri		ny Academy progr	amming with the	research clusters.
Perform student tracking.	Develop measurement tool for longitudinal study.	Implement tracking by cohort and assess effectiveness.	Ongoing.	Ongoing.	Ongoing.
Increase the number of cluster faculty, post-docs, and graduate students involved.	Collect tracking data by cohort.	Ongoing.	Ongoing.	Ongoing.	Ongoing.
Increase the number of cluster research related lessons.	Collaborate at summer camp and during other opportunities that arise.	Ongoing.	Ongoing.	Ongoing.	Ongoing.

Goal 2: Develop new initiatives that result in Native American student retention and completion in STEM							
areas.							
Benchmarks/	Y1	Y2	Y3	Y4	Y5		
Activities							
Objective 2.1: Support Native American STEM students so that they are successful. ( <b>Tribal College Liaison</b> /							
Hoffmann/Ostrom-Blonigen/Webster/Sibi/Zhang/Bowman)							
Develop and	Hire Native	Initiate a	Evaluate and	Ongoing.	Ongoing.		
embed the	American	support plan for	modify plan as	Explore	Institutionalize		
support system	advisors.	students, which	necessary.	institutional-	support system.		
at NDSU and		includes math		ization of			
UND.		readiness.		positions.			

with researchers campuses. to discuss.					
Objective 2.2: Assist Native American students to pursue and eventually obtain PhDs in STEM programs while					
maintaining contact with TCs. (Tribal College Liaison/Hoffmann/Ostrom-Blonigen)					
Support 5 Open Enroll 5 Gather feedback Ongoing. Ongoing.					
students as they application and students. from students.					
progress toward identification of Retain students.					
a STEM Ph.D. one student					
(select from each site					
individual by Research					
faculty once Cluster,					
students are EPSCoR admin,					
identified with and each TC					
an academic president.					
department).					

Goal 3: Increase	Goal 3: Increase the participation levels of women faculty.						
Benchmarks/	Y1	Y2	Y3	Y4	Y5		
Activities							
		ampus groups to exp					
_		nce and engineering	g (ND-WISE) initia	tives. (Hoffmann/	Ostrom-Blonigen/		
Webster/Sibi/ Zh	ang/Bowman)						
Identify tenure-	Work with	Augment	Augment	Ongoing.	Ongoing.		
track women	existing campus	existing campus	existing,		Evaluate efforts.		
faculty in STEM	mentoring	mentoring	mentoring				
fields at NDSU	programs for	programs	programs for				
and UND	women faculty	focused on	tenure-track				
	to communicate	partnering	women faculty				
	the availability	experienced	in STEM				
	of EPSCoR	STEM research	disciplines.				
	funds (state) to	role models in	Award EPSCoR				
	women faculty	the research	seed grants.				
	in tenure-track	clusters with					
	STEM	non-tenured					
	programs.	women faculty					
	Award EPSCoR	who are tenure-					
	seed grants.	track. Award					
		EPSCoR seed					
		grants.					
		of American Indi		e models participa	ting in NATURE		
1 0		Liaison/Ostrom-B	,		1		
Track the	Gather baseline	Increase	Ongoing.	Ongoing.	Ongoing.		
number of	participation	participation.					
women faculty,	metrics.						
post-docs, and							
graduate							
students.							

# **Diversity Output Metrics**

Metrics (Where baseline data is available, it is represented as an initial measure of this metric; where it is not, the Year 1 projection is used and denoted with an *)	Baseline or Year 1 projection* Data	Five-Year Cumulative Targets
Hire Tribal College Liaison	Hired*	Retained
Funding for TC faculty to participate in the research centers (ND has 5 TCs – efforts are currently underway, via campus visits to learn which TC faculty members wish to work with the CRCS or CSMS as cluster members; funds represent total funding to TCs)	\$100,000*	\$530,915
Seed grant awards for PUI faculty to participate in the research center (ND has 4 PUIs—efforts are currently underway with the ND University System to identify PUI faculty members you wish to work with the CRCS or CSMS as cluster members; funds represent total funding to PUIs)	\$0*	\$531,000
Number of meetings of the EMPOWERED-ND Corps	1*	At least every other month
Add UG researchers each year to the clusters identified through NATURE	1-3*	5-15
Hire Native American advisors with STEM backgrounds to advise Native American students at NDSU and UND: NASSE	*	2 – ½ time
Meet annually with TC presidents to report on the impacts of the collaboration efforts between the research centers and the TCs. Report also on the numbers of Native American students who are taking advantage of the programming	Once Annually*	Once Annually
Place Native American students in research group: NATURE+	1-3*	5-15
Identify Native American students interested in obtaining a STEM Ph.D. and are willing to maintain contact with a TC faculty member or administrator: NASSE	1*	5
Assist Native American students in obtaining their doctorate degree: NATURE+	1*	5
Identify Native American students interested in obtaining a STEM M.S. degree and are willing to maintain contact with a TC faculty member or administrator: NASSE	1*	5
Assist Native American students in obtaining their M.S. degree: NATURE+	1*	5
Identify Native American students with a STEM bachelor's degree who are interested in obtaining a M.S. degree in Education and who would be willing to return to a ND tribal community and teach either at the K-12 or TC level: NATURE+	1*	5
Increase the number of newly promoted and/or tenured women faculty in STEM programs at NDSU and UND: WISE-related	*	2
Number of TC cluster faculty	*	2-3 per cluster
Number of cluster themes integrated with university summer camps: NATURE+	*	2-3
Number of cluster faculty/post-docs/GRA	*	2-3
Number of cluster themes integrated with Sunday Academy programs: NATURE+	*	2-3
Number of women involved in NATURE: WISE-related	*	10
Award EPSCoR seed grants to women faculty: WISE-related	1	8+

#### 3.8 Focus Area 4-Education and Workforce Development

**Strategic Priority:** Capitalize on statewide interest in agriculture at all levels of education, while heeding ND's S&T Plan for the ND University System to build capacity in advanced manufacturing and technology-based businesses to advance the state's economic vitality.

#### Goals:

- 1. Build interdisciplinary STEM research capacity state-wide by engaging and equipping students early in their academic careers (K-12) to be successful in the ND workforce through the expansion of existing group relationships (notably 4-H and Future Farmers of America), to leverage the ND EPSCoR investment and reach people from across the state more effectively.
- 2. Engage graduate students, postdoctoral research associates and faculty associated with the clusters in expanding research and educational opportunities to underrepresented groups and younger learners.

**Objectives to Achieve Goals:** Increasing statewide interest in preparing a STEM workforce requires a collaborative relationship between research universities, K-12 institutions, groups already engaged with K-12 students (*objectives 1.2, 1.3*), and TC and PUI partners (*objectives 1.4, 1.5, 2.2*) to build on early student interests (*objectives 2.1*) and identify (*objectives 1.1*) and address barriers (*objectives 2.3*) to pursuing STEM disciplines.

**Team Leads:** Education and Workforce Development co-Lead, **Gretchen Mullendore** (Associate Professor, Atmospheric Sciences, UND) serves as the main research liaison from the CRCS group to the TCs and PUIs for education and workforce development. The other team co-Leads, **Sivaguru Jayaraman** (James A. Meier Jr. Professor, Chemistry and Biochemistry (CBC), NDSU) and **Erika Offerdahl** (Associate Professor, Biological Sciences, NDSU) serves as the main research liaison from the CSMS group to the TCs and PUIs for education and workforce development.

#### **Team Participants:**

- **Aaron Bergstrom** (High Performance Computing Specialist, Center for Computational Research, UND) serves as one the teams' two cyberinfrastructure experts.
- **Frank Bowman** (CRCS co-Lead and Associate Professor, Chemical Engineering, UND) serves as an education and workforce development research liaison from the CRCS group.
- Mark Guy (Professor, Teaching & Learning, UND) serves as an outreach liaison for K-12 and the general public.
- Mark Hoffmann (ND EPSCoR Associate Project Director and Associate Vice President for Research and Economic Development, UND) serves as a team/EPSCoR leadership facilitator/liaison for the team, specifically its UND members.
- **Cindy Juntunen** (Professor, Counseling Psychology, UND) serves as an education and workforce development research liaison from the CRCS group.
- **Martin Ossowski** (Director, Center for Computational Assisted Science & Technology, NDSU) serves as one of the teams' two cyberinfrastructure experts.
- **Jean Ostrom-Blonigen** (ND EPSCoR Project Administrator, NDSU) serves as a team/EPSCoR leadership facilitator/liaison for the team, specifically its NDSU members.
- **Mukund Sibi** (CSMS co-Lead and Distinguished Professor, CBC, NDSU) serves as an education and workforce development research liaison from the CSMS group.
- **Timothy Young** (Professor, Physics and Astrophysics, UND) serves as an outreach liaison for K-12 and the general public.
- The Education and Workforce Development team will work collaboratively with all other project components.

<b>Key outcomes</b> : 1) Increased number relevant to North Dakota; 2) Increase 3) Increased state-wide research capa	d number of stud	ents are equipped lents early in thei	with the skills t	o address problems ested in STEM; and

Table 5. Focus Area 4 Goals, Objectives, Benchmarks and Activities, Output Metrics and Baseline and 5-Year Targets

Goal 1: Build interdisciplinary STEM research capacity state-wide by engaging and equipping students early in their academic careers (K-12) to be successful in the ND workforce and through the expansion of existing group relationships (notably 4-H and Future Farmers of America), to leverage the ND EPSCoR investment to reach people from across the state more effectively. Benchmarks/ <u>Y1</u> **Y2 Y4 Y5** Activities Objective 1.1: Increase student self-efficacy in STEM. (Juntunen) Assess pre-Collect and Collect and Ongoing. Ongoing. Ongoing. intervention analyze pre-test analyze pre-test levels of selfdata for K-12 data for efficacy. students in all K-12 students in experiential all experiential learning learning programs. programs. Identity all activities with significant hands-on activities. Implement and Identity all Collect and Ongoing. Ongoing. Ongoing. assess impact of activities with analyze post-test experiential significant data for all learning hands-on students in all activities. activities. experiential learning programs. Assess impact Collect and Establish Ongoing. Ongoing. analyze post-test of mentoring on mentoring self-efficacy in matches with data from K-12 students. NATURE TAS mentor pairs. and graduate students. Objective 1.2: Increase student interest in STEM. (See activity assignments below) Assess pre-Collect and Ongoing. Ongoing. Ongoing. Ongoing. intervention analyze pretest data for K-12 levels of students in all interest. (Juntunen/ **STEM** Young/Guy) enrichment curriculum/ activity. Develop a train Establish Complete the trainers/ partnership with "training the educators 4-H and FFA; trainers" workshop. implement activity. (Juntunen/ workshop with Teacher Ed Guy) faculty or graduate students. Deliver STEM **Implement** Ongoing. Ongoing. Ongoing. enrichment and modules in

1 66		1 4 77	T	T	
analyze effect		classrooms, 4-H			
on students in		and FFA			
STEM		chapters and			
enrichment/		collect and			
curriculum		analyze student			
activity		interest post-test			
(Juntunen)		data			
Objective 1.3: Inc	rease student intenti	ons to pursue STEN	A career or major. (	Juntunen)	
Assess pre-	Collect and	Ongoing.	Ongoing.	Ongoing.	Ongoing.
intervention	analyze pre-test				
levels of	data for all				
intention.	students in all				
	STEM				
	enrichment				
	programs.				
Implement and	Identity all	Collect and	Ongoing.	Ongoing.	Ongoing.
assess impact of	activities with	analyze post-test	Ongoing.	Ongoing.	ongoing.
all STEM	significant	data for all			
enrichment		students in all			
	hands-on				
learning	activities.	experiential			
activities.		learning			
5		programs.	6 11		
Determine the		Develop CRCS	Collect and	Ongoing.	Ongoing.
impact of STEM		and CSMS	analyze post-test		
modeling by		science content	data after		
advanced		podcasts by	viewing		
students.		graduate and	podcasts.		
		undergraduate			
		students.			
	uild capacity for ir				
	and PUIs) and sustai	n research collabora	ations with TC/PUI	s. (See activity assi	gnments below)
Initiate	Ideas for				
collaboration	collaboration				
grants at TCs.	grant use				
(Mullendore/	submitted by				
Jayaraman)	UND/NDSU				
	researchers.				
	Host				
	collaboration				
	grant				
	information				
	meetings.				
	Identify				
	researchers.				
Collaboration	Ideas for	Competitive			
grants initiated	collaboration	seed grants for			
at PUIs.	grant use	collaborative			
(Mullendore/	submitted by	research grants			
Jayaraman)	UND/NDSU	awarded to			
Jujui amam)	researchers and	PUIs.			
	collaboration	1 013.			
		i			
	grant				

Foster collaboration via regular meetings. (Mullendore/ Jayaraman)  Collaborative fits identified between UND/NDSU and TC/PUI cluster	Welcome meeting to introduce new collaborators. Convene regular IVN meetings. Promote attendance at state conference. Initial research integrations identified.	Convene regular IVN meetings and attendance at state conference.  Collaborative links, such as projects as part of CRCS/CSMS team efforts, enhanced/	Ongoing.  Ongoing.	Ongoing.  Ongoing.	Ongoing.  Ongoing.
researchers.		adjusted as			
(Mullendore/		research			
Jayaraman)		matures.			
Host HPC	Host HPC	Ongoing.	Ongoing.	Ongoing.	Ongoing.
Bootcamp for	Bootcamp each				
researchers at UND and	semester for UND and				
NDSU.	NDSU.				
(Bergstrom/	NDSU.				
Ossowski)					
	ld capacity for inter-	disciplinary research	n state-wide, initiate	collaboration with	partner institutions
(TCs and PUIs) ar	nd sustain research o	collaborations with	ΓC/PUIs. (See activ	rity assignments be	low)
Outreach	Collect project	Update	Ongoing.	Ongoing.	Ongoing.
Portfolio created	ideas from	portfolio.			
and maintained.	outreach				
(J. Zhang/ Webster/	coordinators, including (but				
Ostrom-	not limited to)				
Blonigen)	NATURE,				
8 /	Presentations or				
	lesson plan				
	development,				
	4-H, FFA,				
Citizen Science	community	Davidon CCMC	Market CSG	Ongoing	Ongoing
Grid (CSG) and	Develop CRCS applications and	Develop CSMS applications and	app/content to	Ongoing.	Ongoing.
outreach to K-	content for	content for CSG	K-12 classrooms		
12 through	CSG.	and market CSG	through Dakota		
STEM		app/content to	STEM Initiative		
organizations.		K-12 classrooms	and programs		
(Bergstrom)		through Dakota	such as NDSCS		
		STEM Initiative	'You're Hired!		
		and programs such as NDSCS			
		'You're Hired!			
Sharing of	Hold CI	Ongoing.	Ongoing.	Ongoing.	Ongoing.
cyber-	sessions at state-				
infrastructure	wide EPSCoR				
expertise.	events.				
(Bergstrom/					
Ossowski)					

General HPC	Hold HPC	Ongoing.	Ongoing.	Ongoing.	Ongoing.
information	information				
session for	session for				
NATURE	Nature program.				
program.					
(Bergstrom/					
Ossowski)					

Cool 2. Engago u	ndaranaduata and	anaduata studants	nostdostoval vasaas	nah aggariatas and	faculty associated			
	Goal 2: Engage undergraduate and graduate students, postdoctoral research associates and faculty associated with the clusters in expanding research and provide educational and research opportunities to							
	underrepresented groups and younger learners from other ND institutions.							
Benchmarks/	Y1	Y2	Y3	Y4	Y5			
Activities	11	12	10					
	crease student inte	ntions to pursue S	TEM career or ma	aior. ( <b>Offerdahl/H</b>	offmann/Ostrom-			
Blonigen)		1						
Engage students	Establish	Administer REU	Ongoing.	Ongoing.	Ongoing.			
in research	recruitment	programs based						
related to cluster	plan, selection	on feedback and						
foci by	processes.	increase						
establishing and	Identify	participation of						
maintaining a	administrative	PUI and TC						
summer REU	personnel to	faculty and						
program that	coordinate	students.						
includes PUI	recruitment,							
and TC faculty	selection, and							
and students.	logistics.	DIII /FG : 1	. 1	(0 4 1 1				
		PUIs/TCs in gradua						
Recruit PUI and	Establish and	Continued	Ongoing.	Ongoing.	Ongoing.			
TC students into	implement	recruitment						
Master's and Doctoral	recruitment	efforts to achieve desired						
	plan. Visit PUIs and TCs. Invite	metrics.						
programs at UND/NDSU.	PUI/TC faculty	meures.						
(Jayaraman/	to clusters.							
Mullendore)	to clusters.							
Provide	Establish criteria	Annual review	Ongoing.	Ongoing.	Ongoing.			
financial support	for awarding	of fellows'	ongoing.	ongoing.	ongoing.			
for graduate	fellowships and	progress.						
research.	identify metrics							
(Jayaraman/	for adequate							
Offerdahl/	yearly progress.							
Bowman)								
		sional development	(PD) opportunities	for undergraduate ar	nd graduate student			
	vity assignments b							
Create a	Articulate	Provide two	Ongoing.	Ongoing.	Ongoing.			
directed	criteria for and	seminars per						
mentorship	recruit initial	semester.						
program for	RPPAC.	Communicate						
graduate student	Identify list of	standards of						
trainees. Create	PD seminars,	mastery.						
the RPPAC:	semester	Evaluate trainee						
Research, Policy	projects for	writing/work.						
& Planning	trainees and							
Advisory	establish							

Committee	standards for	Provide			
(Jayaraman/	performance.	feedback to			
Sibi/ Bowman)	X1 .:C 1	trainees.			
Develop and	Identify and	Communicate	Ongoing.	Ongoing.	Ongoing.
integrate	recruit faculty to	about Summer			
Science	facilitate	Science seminar			
Communication	projects.	series. Evaluate			
Projects into	Create science	REU students'			
REU program.	communication	communication			
(Offerdahl/	guidelines.	products.			
Bowman/	Identify/create	Disseminate			
Ostrom-	assessment	products via			
Blonigen)	rubrics.	Web			
Graduate	Outreach	Ongoing.	Ongoing.	Ongoing.	Graduate
students and	choices okayed				students and
postdoctoral	and facilitated				postdoctoral
researchers will	by outreach				researchers
participate in at	coordinator.				outreach choices
least one	Students collect				okayed and
outreach activity	and summarize				facilitated by
per funded	assessment				outreach
semester.	(when				coordinator.
(Mullendore/	applicable).				
Offerdahl)					
Develop	Recruit students	Graduate	Graduate	Ongoing.	Ongoing.
graduate	for NATURE+.	trainees	trainees		
students' skills	Design outreach	complete at least	diversify their		
to conduct	portfolio.	one project from	own education/		
education and	Articulate	the outreach	outreach		
outreach	expectations for	portfolio; also	experience by		
projects.	graduate student	collect and	completing new		
(Ulven/	participation in	report	opportunities		
Offerdahl/	outreach.	evaluation data	from outreach		
Bowman/		relevant for their	portfolio.		
Ostrom-		outreach			
Blonigen)		activity.			
Use HPC	Train graduate	Ongoing.	Ongoing.	Ongoing.	Ongoing.
bootcamps to	students.				
train graduate					
students on HPC					
basics.					
(Bergstrom/					
Ossowski)					

# **Education and Workforce Development Output Metrics**

Metric	Baseline or	Five-Year
(Where baseline data is available, it is represented as an initial measure of this	Year 1	Cumulative
metric; where it is not, the Year 1 projection is used and denoted with an *)	projection*	Targets
	Data	
Number of K-12 students completing the Lent STEM Self-efficacy Scale baseline	100*	300
Number of FFA and 4-H participants completing the Lent STEM Self-efficacy	*	600-900**
Scale baseline		
K-12 students will demonstrate an increase in STEM elf-efficacy on the Lent	*	.25 SD
STEM Self-efficacy Scale @ post-test		increase
FFA and 4-H participants will demonstrate an increase in STEM elf-efficacy on the	*	.25 SD
Lent STEM Self-efficacy Scale @ post-test	*	increase
Number of mentor pairs (identified in year 2)  K-12 students in mentoring pairs will demonstrate an increase in STEM elf-	•	.50 SD
efficacy on the Lent STEM Self-efficacy Scale @ post-test	*	increase
Number of K-12 students completing STEM Interest & Values scale, baseline	100*	300
Number of FFA and 4-H participants completing STEM Interest & Values scale,	100	
baseline	*	600-900**
Number of 4-H and FFA partnerships established	8-16*	N/A
STEM Enrichment modules: Trainer/Educator training completed	*	8-16
Number of 4-H and FFA participants completing STEM Interest & Values scale,		
post-test	*	600-900**
Number of K-12 students completing STEM Major/Career Intentions Scale	1.00%	200
baseline	100*	300
Number of FFA and 4-H participants completing STEM Major/Career Intentions	*	C00 000**
Scale baseline	Ψ.	600-900**
Number of K-12 students completing STEM Major/Career Intentions Scale, post-	*	300
test and repeated measures		300
Number of FFA and 4-H participants completing STEM Major/Career Intentions	*	600-900**
Scale, post-test and repeated measures		
Number of CRCS and CSMS podcasts developed	*	6-10
Number of high school students completing STEM Major/Career Intentions Scale,	*	600-900**
following podcast viewing	*	
Number of ND undergraduates recruited as REU participants, 70% from TC/PUIs	*	12-15
Number of PUI/TC students recruited into Master's and Doctoral programs:	*	6
NASSE Number of Graduate Research Assistantships (GRA) and Doctoral Dissertation		
Assistantships (DDA) awarded to STEM graduate students: NATURE+	*	6
Number of seminars provided both in-person and over the web	9*	81
Number of summer seminar series provided in-person or via web	*	4
Number of REU participant-generated science communication project created and		
disseminated via WWW	*	4-8
Number of electronic outreach portfolios available on cluster websites	*	6
Number of graduate students participating in HPC Bootcamps	3*	20
Number of informational meetings at TCs	5*	N/A
Number of cluster researchers identified at TCs	>=1/TC*	>=5
Number of cluster researchers identified at PUIs through collaborative seed grants	*	>=4
Number of HPC Bootcamps for UND and NDSU faculty, staff and students	1*	9
Number of TC/PUI participants in cluster group meetings	5*	45
Number of TC/PUI faculty attendees at state conference	5*	45
Number of cluster-related conference presentations including TC/PUI authors	0*	17
Number of cluster-related publications including TC/PUI authors	0*	9
Number of cluster-related proposals submitted including TC/PUI co-investigators	0*	15

Number of CI Sessions at state-wide EPSCoR Events	1*	5
Number of activity ideas included in outreach portfolio	10-30*	50-150
Number of outreach activities completed	10-40*	50-200
Number of Citizen Science Grid CRCS and CSMS apps developed	1*	2
Number of Citizen Science Grid CRCS and CSMS apps in classrooms	0*	21
Number of general HPC information sessions for NATURE program	1-2*	5-10

<sup>\*\*</sup>These numbers represent 300+ students per year during each of the last three years of the program; individual students may repeatedly participate.

# 3.9 Focus Area 5-Partnerships, Collaborations and Communication

**Strategic Priority:** Create a network of well-informed persons and businesses that not only benefit from the research, but who also can advance the overall knowledge base of the public, in general.

#### Goals:

- 1. Develop partnerships with regional industries in value-added agriculture and other enterprises that depend on knowledge of climatic variation and weather extremes.
- 2. Develop collaboration between the research themes and national labs.
- 3. Engage students and postdoctoral research associates in partnerships and collaborations.
- 4. Communicate with stakeholders and public in general to ensure and enhance awareness using more contemporary media, such as webinets, blogs and podcasts, to complement traditional means, such as magazines and newsletters.

**Objectives to Achieve Goals:** Developing partnerships and collaborations to advance the work of the research clusters into a value-added proposition for ND requires a joint communication effort from the research universities to ND K-12, PUIs, TCs; as well as partnership with other state and federal entities and academic research entities (*objectives 1.1, 2.1*) and those across the NGP who have a vested interest in the science (*objectives 1.2*). Just as students will benefit from relationships with ND industry (*objectives 3.1*), ND industry will benefit from the knowledge of students who are engaged in the research clusters. However, the ultimate success of these research efforts lie with stakeholder and the public in general, who are oftentimes unfamiliar with the research efforts with higher education (*objectives 4.1, 4.2, 4.3, 4.4*); thus these groups must also be called upon to collaborate in these efforts (*objectives 4.5*).

**Team Leads:** The co-Leads on the CRCS project, **Frank Bowman** (CRCS co-Lead and Associate Professor, Chemical Engineering, UND), and the CSMS project, **Mukund Sibi** (CSMS co-Lead and Distinguished Professor, Chemistry and Biochemistry, NDSU), are also the co-Leads for Partnerships, Collaborations and Communication

# **Team Participants:**

- **Bret Chisholm** (Center for Nanoscale Science and Engineering, NDSU) serves as a partnership, collaboration and communication liaison from the CSMS group.
- **Anne Denton** (Associate Professor, Computer Science, NDSU) serves as a partnership, collaboration and communication liaison from the CRCS group.
- Mark Hoffmann (ND EPSCoR Associate Project Director and Associate Vice President for Research and Economic Development, UND) serves as a team/EPSCoR leadership facilitator for the team, specifically its UND members.
- **Andrei Kirilenko** (Associate Professor, Earth System Science & Policy, UND) serves as a partnership, collaboration and communication liaison from the CRCS group.
- **Jean Ostrom-Blonigen** (ND EPSCoR Project Administrator, NDSU) serves as a team/EPSCoR leadership liaison for the team, specifically its NDSU members.
- **Kelly Rusch** (ND EPSCoR Project Director and Vice President for Research and Creative Activity, NDSU) serves as a team/EPSCoR leadership facilitator for the team.
- **Chad Ulven** (Associate Professor, Mechanical Engineering, NDSU) serves as a partnership, collaboration and communication liaison from the CSMS group.
- **Dean Webster** (CSMS Director and Professor and Chair, Coatings and Polymeric Materials, NDSU) serves as a partnership, collaboration and communication liaison from the CSMS group.

- **Jianglong Zhang** (CRCS Director and Associate Professor, Atmospheric Sciences, UND serves as a partnership, collaboration and communication liaison from the CRCS group.
- The Partnership, Collaborations and Communications team will work collaboratively with all of the other project components.

**Key Outcomes:** 1) Increased partnerships with companies and national labs to advance CRCS and CSMS science and engineering infrastructure and translational research opportunities; 2) Increased partnerships with industry to advance STEM workforce development and training; 3) Increased public understanding of the scientific and educational programs and benefits of INSPIRE-ND; and 4) People from across ND will provide feedback to ND EPSCoR and pursue mutually beneficial opportunities.

 $Table\ 6.\ Focus\ Area\ 5\ Goals,\ Objectives,\ Benchmarks\ and\ Activities,\ Output\ Metrics\ and\ Baseline\ and\ 5-Year\ Targets$ 

Goal 1: Develop partnerships with regional industries in value-added agriculture and other enterprises that depend on knowledge of climatic variation and weather extremes.						
Benchmarks/	Y1	Y2	Y3	Y4	Y5	
Activities						
				ce and engineering		
translational resea	rch opportunities ar	d specifically Acad	emic/industrial col	laborations. (Ulven/	Chisholm)	
Create		Submit	Ongoing.	Ongoing.	Ongoing.	
partnerships		Research-ND				
with industry		proposals.				
through ND						
Department of						
Commerce or						
other similar						
programs.						
Develop	Identify private	Identify	Select	Monitor	Review	
Translational	sector industry	collaboration	collaboration	projects.	program.	
Research	partners.	projects.	projects.			
Initiative (TRI)						
program to						
provide						
technology						
proof-of-						
concept funding.						
Collaborate with	CSMS and	CSMS faculty	Ongoing.	Ongoing.	Ongoing.	
industry on	CRCS faculty	submit one				
SBIR/STTR	initiate	SBIR/STTR				
opportunities.	collaborations	proposal.				
	with industry.					
	1	1	ultural producers a	nd associated organ	izations in order to	
	ssociations. (Dento		1			
Strengthen	Develop data	Share science	Ongoing.	Ongoing.	Ongoing.	
relationship with	sharing	outcomes with				
American	protocols.	producers.				
Crystal Sugar	Identify areas of	Recruit new				
Company and	interest for	agriculture				
develop new	partners.	group partner.				
partner						
relationship.						

Goal 2: Develop collaboration between the research themes and national labs.						
Benchmarks/	Y1	Y2	Y3	Y4	Y5	
Activities						
Objective 2.1: Es	stablish collaboration	ons with federal res	search and other ac	ademic research en	tities. (Chisholm/	
Kirilenko)						
Participate in	CRCS develops	Exchange data	Ongoing.	Ongoing.	Ongoing.	
observational	climate data	with climate				
networks and	partners.	partners.				
integrate data						
into regional						
climate studies.						
Establish and	CSMS initiates	Exchange ideas	Ongoing.	Ongoing.	Ongoing.	
maintain CSMS-	and tracks	with DoE and	Student			

DoE and USDA	contacts with	USDA	internship at	
lab partnerships.	DoE labs and	scientists. Track	DoE and/or	
	USDA labs.	interactions.	USDA labs.	

Goal 3: Engage s	Goal 3: Engage students and postdoctoral research associates in partnerships and collaborations.						
Benchmarks/	Y1	Y2	Y3	Y4	Y5		
Activities							
Objective 3.1: C	Collaborate with NI	D companies to pro	ovide students with	n STEM-related inc	dustry experience.		
(Webster/Sibi/Bo	wman)						
Identify and	Identify ND	Ongoing.	Ongoing.	Ongoing.	Ongoing.		
support summer	industry partners						
internships in	for student						
ND industry.	internships.						
,	1						
Identify and	Develop plan	Identify regional	Establish	Review quality	Ongoing.		
support regional	for contacting	industry	student	and continue.			
(MN, MT, SD)	industries	partners.	internships in				
industry and		1	regional				
summer			industry.				
internships.							

Goal 4: Communicate with stakeholders and public in general to ensure and enhance awareness using more							
	contemporary media, such as webinets, blogs and podcasts, to complement traditional means, such as						
magazines and n				<u> </u>			
Benchmarks/	Y1	Y2	Y3	Y4	Y5		
Activities							
	mprove public aw	areness of INSPII	RE-ND activities.	(All program pa	rticipants/Rusch/		
Hoffmann/Ostro							
Develop	Develop	Maintain and	Ongoing.	Ongoing.	Ongoing.		
INSPIRE-ND	website(s) for	enhance an up-					
Website.	CRCS and	to-date website.					
	CSMS with	Ensure that					
	video, webinets,	information is					
	etc. to provide	cross-pollinated					
	easy access to	to reach a larger					
	informative and	audience.					
	up to date						
	information.						
Publicize	Establish	Publish and	Ongoing.	Ongoing.	Ongoing.		
ongoing	website news	distribute a					
INSPIRE-ND	category.	yearly printed					
results and	Maintain	and PDF					
achievements.	e-mails to all	newsletter.					
	(NDSU, UND,	Create blogs by					
	PUIs TCs).	students, faculty					
	Create blogs by	on scientific					
	students, faculty	accomplishment					
	on scientific	Include news					
	accomplishment	from EPSCoR					
	and news from	personnel on					
	EPSCoR.	accomplishment					
Identify, pursue	Create podcasts	Use print and	Ongoing.	Ongoing.	Ongoing.		
and develop	using local	radio/television					
opportunities for	broadcasting or	to disseminate					
media cross-	other media and	progress and					

pollination and	create webinets on ND-EPSCoR	promote story ideas to students			
coverage.	website.	ideas to students			
Define a media/	Develop web	Continue	Ongoing.	Ongoing.	Ongoing.
publication	usage statistics.	monitoring web,	ongoing.	ongoing.	Ongoing.
monitoring	Track articles	print, broadcast			
system to	and reports on	statistics.			
measure	CRCS, CSMS,	statistics.			
coverage by	and other				
online,	EPSCoR				
newspapers and	accomplish-				
broadcast	ments				
media.					
Develop social	Hold workshop	Create web	Hold Social	Ongoing.	Ongoing.
media toolkit.	(NSF style) on	modules on	Media		- 8- 8-
	how best to use	communication	Workshop.		
	social media to	skills.	1		
	promote				
	science.				
Objective 4.2: In	nprove awareness of	f CRCS and CSMS	scientific research	and integrated educ	cation programs to
multiple audiences	s. (All program par	rticipants/ Rusch/I	Hoffmann/Ostrom-	Blonigen)	
Participate in	Discuss grand	Ongoing.	Ongoing.	Ongoing.	Ongoing.
Science Cafes.	challenges to				
	general public in				
	an open science				
	café format.				
Publicize	Develop	Maintain up to	Ongoing.	Ongoing.	Ongoing.
ongoing CRCS	websites for	date websites			
and CSMS	CRCS and	for CRCS and			
activities on	CSMS with	CSMS.			
Center websites.	more detailed	Organize annual			
	science content	in-house			
	than on general	seminar series at			
	INSPIRE-ND	NDSU and			
	website.	UND to			
		promote			
		sustainability			
		and showcase			
		the research			
D: .	D:	themes.			
Disseminate	Disseminate	Ongoing.	Ongoing.	Ongoing.	Ongoing.
scientific	scientific				
accomplishment	accomplishment				
using a variety of media tools.	using a variety of media tools.				
	enerate public inter	reat in CTEM to a	yamant ayaaaa af	E EMPOWEDED N	D macamama (All
	oants/Rusch/Hoffm			EMPOWERED-IN	D programs. (An
Promote	Use ND-	Ongoing. Send	Ongoing.	Ongoing.	Ongoing.
EMPOWERED-	EPSCoR, CRCS	mass e-mail	Oligonig.	Oligonig.	Oligonig.
ND activities;	and CSMS	mailing of			
develop press	websites to	yearly			
releases, engage	promote	newsletter.			
in media	outreach	newsietter.			
interviews,	activities. Post				
invited talks and	videos and				
min tune tune	. 10005 and	L	l .	1	1

speaking	webinets on				
engagements.	outreach				
	activities.				
Objective 4.4: Info		f INSPIRE-ND pro	jects, activities, and	achievements (the	target stakeholder
			participants/Rusc		
Prepare	Prepare	Ongoing.	Ongoing.	Ongoing.	Ongoing.
INSPIRE-ND	individual				
Annual Report.	progress reports				
	from CRCS,				
	CSMS, outreach				
	and educational				
<b>D</b>	activities.	G .:			
Presentations to	Leadership/	Continue visits	Ongoing.	Ongoing.	Ongoing.
stakeholder	faculty visit	and forums.			
groups.	TC/PUIs; ND- EPSCoR	Visit community and, industry			
	leadership visits	groups with			
	with legislators.	targeted			
	Hold on-campus	presentations on			
	open forums	INSPIRE-ND,			
	about how grand	highlighting			
	challenges are	opportunities for			
	being addressed.	participation.			
Objective 4.5: Pro	vide opportunities	for collaborative dis	scussions and feedb	ack from stakehold	ers. (All program
participants/Ruse	ch/Hoffmann/Ostr	om-Blonigen)			
Host Annual	Students and	Ongoing.	Ongoing.	Ongoing.	Ongoing.
ND EPSCoR	faculty prepare				
Conference that	presentations.				
includes poster	Review				
and oral	feedback from				
presentations by	previous				
students and	conference;				
faculty, and other sessions	planning committee				
e.g., café-like	meets to make				
presentations by	arrangements;				
faculty; break-	discuss issues,				
out session with	accomplishment				
small working	and course of				
groups	action.				
Host External	Prepare oral and	Ongoing.	Ongoing.	Ongoing.	Ongoing.
Advisory Board	poster sessions				
(EAB) Meetings	by students and				
and develop	faculty; hold				
Annual Reports.	Q&A sessions				
	between faculty				
	and EAB.				
Host	Identify groups	Include	Ongoing.	Ongoing.	Ongoing.
community,	to visit and	mechanisms to			
school, and	topics of interest	elicit feedback			
industry group	and develop	in community			
meetings.	feedback mechanisms for	meetings.			
	community				
	meetings.				
			l	l	l

# Partnerships, Collaboration and Communication Output Metrics

Metric (Where baseline data is available, it is represented as an initial measure of this metric; where it is not, the Year I projection is used and denoted with an *)	Baseline or Year 1 projection* Data	Five-Year Cumulative Targets
Number of Research ND proposals submitted	1	4
Number of translation research initiatives at \$20,000 each	*	6
Number of SBIR/STTR proposals submitted	*	4
Number of climate data partners	1*	5
Number of DoE and USDA Lab partners	1*	10
Number of DoE Lab student internships	*	5
Number of agricultural group partners	1	12
Number of ND industry partners	1*	8
Number of student interns (ND)	1*	13
Number of regional industry partners	*	5
Number of student interns (regional)	*	6
% New content added to website	100%*	Base +75%
Number of visits to website	600*	4400
Number of news items posted to the website	8*	47
Number of blog entries	2	22
Number of media outlet reports	2	23
Number of in-house seminar series	*	4+
Number of Science Café events	1	8
New content added to CRCS & CSMS websites	100*	175
Number of visits to websites	300*	2200
Number of EMPOWERED-ND publicity items (e.g.: video, blogs, print)	3*	36
Annual Report published	1*	5
Number of TC/PUI visits	2*	10
Number of legislator visits	1*	9
Number of campus open forums	1*	9
Number of community/school/industry group visits	*	7
Annual conference held	3	5
Number of external advisory board meetings	1*	5

#### 3.10 Cyberinfrastructure

INSPIRE-ND's Cyberinfrastructure Plan [CI] is embedded across three of the five Focus Areas. Individual benchmarks/activities are incorporated into each of the relevant tables in an effort to ensure integration of CI throughout the project.

Goal: The goal of the CI activities under this EPSCoR project is to support activities of the two research clusters as well as to provide opportunities for outreach and sharing of CI expertise in the area of advanced and High Performance Computing (HPC). The Strategic Planning Meeting (SPM) held on October 20-21, 2014 introduced some changes to our CI Plan. In particular, the use of dedicated Cloud Object Storage system, which was a part of our original CI Plan, was meant to provide a data transfer facility that would provide novice and seasoned end-users of our HPC systems with means to easily and efficiently transfer large amounts of data between UND and NDSU. However, in discussions with our EPSCoR research cluster teams and with other North Dakota EPSCoR team members during the SPM it was determined that the emphasis of the CI activities should be shifted toward providing additional training in general HPC and consequently that funds originally allocated for the acquisition of a dedicated Cloud Object Storage system ought to be repurposed toward that training and toward broader HPC outreach. Similarly, it was determined that in order to save additional funds for these activities the research cluster teams should primarily rely on a local storage and a local Relational Database Management system (RDBMS) minicloud at NDSU rather than on extended use of public repositories development of novel data warehousing platforms (which would have necessitated expensive efforts related to development of data integration and cloud interoperability technologies).

The two institutions already have experience with Globus Online implementation of the GridFTP protocol and with the conventional Secure Copy Protocol (SCP) for data movement. As such, we also determined during the SPM meeting that the nature and the amount of data that is planned to be exchanged between NDSU and UND is expected to lend itself well to these technologies. Combined with planned enhancements to our local storage systems, this reduces the need for deployment of the above mentioned dedicated Cloud Object Storage infrastructure. With regard to the proposed RDBMS minicloud infrastructure at NDSU, it was determined during the SPM that such facility will satisfy the needs of the CRCS research cluster. NDSU has the necessary knowledge and experience to architect, build, and maintain the RDBMS minicloud for this EPSCoR project. The changes described above to the CI Plan will therefore not affect our ability to fulfil the original objectives of this EPSCoR project while enhancing our ability to provide additional HPC training, education, and outreach activities.

**Team Leads:** Martin Ossowski (Director, Center for Computationally Assisted Science and Technology (CCAST)-NDSU)) and Aaron Bergstrom (HPC Specialist, Computational Research Center (CRC), UND).

**Team Participants:** the point-of-contacts for cyberinfrastructure are the Team Leads for the three focus areas.

#### **Embedded Cyberinfrastructure Activities:**

- 1. Develop an efficient implementation of the Globus Online GridFTP data transfer tool (*Focus Area 1*, *Goal 2*, *Objective 2.2 and Focus Area 2*, *Goal 2*, *Objective 2.5*).
- 2. Architect and build local Relational Database Management system (RDBMS) minicloud at NDSU in support of collaborative activities within the UND CRCS research cluster, possibly housed at NDSU CCAST, possibly housed elsewhere (*Focus Area 1, Goal 2, Objective 2.3*).
- 3. Procure a limited amount of HPC enhancements needed by both research clusters for modeling, simulation, visual analysis and storage (*Focus Area 1, Goal 2, Objective 2.4 and Focus Area 2, Goal 2, Objective 2.6*).

- 4. Host HPC outreach activities as needed and outlined within the tables above (*Focus Area 4, Goal 1, Objective 1.4*).
- 5. Sharing of cyberinfrastructure expertise (Focus Area 4, Goal 1, Objective 1.5).
- 6. General HPC information sessions for NATURE program (Focus Area 4, Goal 1, Objective 1.5).
- 7. Train graduate students on HPC basics (Focus Area 4, Goal 2, Objective 2.3).

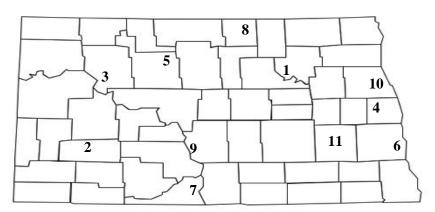
There will be **two general outcomes** of the CI plan. First, through activities within the two research clusters, it will implement strategic enhancements in: (1) Data processing capabilities, (2) Data storage and movement capabilities, and (3) Database technology and its implementation in a cloud/virtual environment. Second, through its Education and Workforce Development activities it will increase awareness of the role of CI among the state's K-12 students and provide opportunities for outreach and sharing of CI expertise in the area of advanced and high performance computing among the state's college students.

# 3.11 Synergies for Sustainability

Demonstrated throughout this strategic plan are statewide synergistic prospects that will result when the efforts of ND researchers (students (graduate and undergraduate) and faculty) located at institutions of higher education (see map) throughout the state are combined in ways that seek to develop Emerging PrOgrams for WorkforcE Development, OutReach, Education and Diversity in ND (EMPOWERED-ND) and serve to sustain our research efforts.

- 1. Cankdeska Cikana Community College (CCCC), Fort Totten
- 2. Dickinson State College (DSC), Dickinson
- 3. Fort Berthold Community College (FBCC), New Town
- 4. Mayville State University (MaSU), Mayville
- 5. Minot State University, (MiSU), Minot
- 6. North Dakota State University (NDSU), Fargo
- 7. Sitting Bull College, SBC, Fort Yates
- 8. Turtle Mountain Community College (TMCC), Belcourt
- 9. United Tribes Technical College (UTTC), Bismarck
- 10. University of North Dakota (UND), Grand Forks
- 11. Valley City State University, (VCSU), Valley City

### North Dakota



Throughout the ND Strategic Plan, the highlighted metrics that are contained in each of the individual Focus Areas represent the sustainability components of the INSPIRE-ND program. As depicted in the map above, the distance between the state's public institutions combined with the difficulties associated with winter travel make collaboration challenging. This program seeks to build on collaborative research programs, like CRCS and CSMS, by using infrastructure investments NSF has already made in the state (e.g.: C-2) to provide an EMPOWERED-ND built on diversity, education, outreach, and workforce development that uses all these synergies for the sustainability of ND's research programs.

# 3.12 Risk Mitigation Plan

During the Strategic Planning Workshop, project members were asked to consider all potential risks to the program and associated consequences: Each of the five group leads worked with their teams to identify risks and consequences, as well as the ideas for mitigation. This process resulted in the Risk Mitigation Matrix (Table 7).

**Table 7. Risk Mitigation Matrix** 

No.	Potential Risks	Consequences	Impact	Likelihood	Mitigations
1.	Too many tasks to complete in the timeframe allocated	May need to redesign/adjust composition of project; delay in meeting ultimate project goals	High	High	Project team to communicate frequently and early-on if there are concerns related to project success
2.	Inability to find TC & PUI faculty who are able to find research time in their schedules	Inability to fully engage the TCs and PUIs at the levels budgeted; thus unable to achieve our workforce development goals	High	Moderate	Continue to communicate with TCs and PUIs; also request Tribal College Liaison to follow-up with faculty on the TCs and work with the NDUS for PUI participation
3.	Insufficient appropriate faculty resources at the TCs and PUIs to engage in the research clusters	No TC or PUI participation	High	Low	Project faculty could engage TCs and PUIs by other means
4.	Decline or discontinuation of state support during the 2015, 2017 or 2019 legislative sessions	Decline in the ND EPSCoR state cash commitment of \$4M	High	Low	Continue to communicate ND EPSCoR program outputs and outcomes to ND legislators
5.	Challenges associated with complete degradation of composites	May need to redesign/adjust composition of polymer matrix system; delay in meeting ultimate project goals	High	Low	Seek to anticipate challenges up front. Study degradation of polymer system alone. If needed, redesign polymer system
6.	Inability to recruit and fill Tribal College Liaison position	No Liaison	High	Low	Work more closely with existing TC site coordinators and administration
7.	Inability to find PhD and MS students for NATURE+	No student participation; lessen the potential for workforce development	Moderate	Moderate	None
8.	Too few farmers participate in focus groups	Limited themes emerge; weaker item pool for quantitative survey	Moderate	Moderate	Work with influential colleagues; conduct individual interviews if necessary to replace or augment focus group data

9.	Low response rate to quantitative survey (< 20%)	Limited data; insufficient data to inform models about farmer-level inputs	Moderate	Moderate	Several waves of data collection identified; if sample is not representative, will use statistical weighting models
10.	Insufficient computing resources	Limited modeling capability; modeling efficiency and resolution	Moderate	Moderate	Lower resolution and/or combine separate modeling for different domains
11.	Unavailability of some data for modeling	Limited data; modeling of the related processes	Moderate	Moderate	Estimate or use some reference data
12.	New collaborative proposals not funded	May limit ability to sustain the program	Moderate	Moderate	Engage consultants during proposal writing process for timely feedback to increase chance of program success. Engage program officers ahead of proposal submission to understand program expectations. Submit proposals in a timely fashion to allow for time to resubmit if initial proposals are not funded
13.	A researcher becomes unable to contribute to project due to illness or departs university	Unable to meet metrics	Moderate	Moderate	Shift some work to another peer investigator. Bring on an additional investigator through a seed grant proposal. Initiate a new faculty search for a replacement, if needed
14.	Unable to attract highly qualified and productive postdocs and graduate students	Negative impact on productivity. Hinders ability to meet metrics	Moderate	Low	Actively recruit postdocs from targeted research groups. Actively recruit graduate students with strong backgrounds in undergraduate research
15.	Inability to hire the expertise in a timely manner (e.g., computer programming)	Delay in model development and computer coding	Moderate	Low	Hire postdoc and collaborate with others
16.	Malfunction and possible loss of some field instruments	Limited data for dataset; model calibration and validation	Moderate	Low	Increase field check and maintenance protocols; select secure sites
17.	Some research model developments are delayed	Delayed integration process	Moderate	Low	Study key research subcomponents from both statistical- and modeling-based approaches from different investigators.  Synthetic data will be created to facilitate the integration step
18.	Inability to identify Native American	Support for Native American students at	Moderate	Low	University faculty would need to commit more time to better

	advisors in STEM	the university will be			engage TCs students at each
	disciplines	limited			university
19.	Monomer targets	Monomer not	Moderate	Low	Work on a number of
17.	cannot be synthesized	available for polymer	Moderate	Low	synthesis targets in parallel.
	cumot de symmesizes	synthesis; delay in			Explore alternate synthesis
		project progress			strategies, use alternate
					monomers
20.	Spring river flooding	Research work	Moderate	Low	Increase effort after normal
	shuts down NDSU or	delayed			operations resume
	UND				
21.	Insufficient physical	Barrier to	Moderate	Low	ND EPSCoR will continue to
	infrastructure at partner	collaborative work			work with the TCs and PUIs
	institutions	0 11	36.1	-	in this regard
22.	Small amount of yield	Small amount of	Moderate	Low	Use existing data and future
	data from farmers	training data for data			public data
		mining models; limited ability to test			
		algorithms for			
		weather conditions			
		of future years			
23.	Dependence of major	The results would be	Moderate	Low	Analyze data at a finer scale
	crop acreages on	less interesting, as			and draw inferences based on
	climate may not be	the effects of climate			sub-state variation in climate
	statistically discernible	change on			rather than climate change
	separately from	agricultural			over time
	economic and	production is the			
	agronomic variables	motivator for this			
	due to statistical	study; publication of			
	confounds, such as multicolinearity	results in top tier journal would be			
	municonnearity	more difficult.			
		Forecasting will still			
		be possible even if			
		the effects are not			
		statistically separable			
24.	Dependence of field-	The results would be	Moderate	Low	Make statistical inferences
	level crop rotation	less interesting, as			based on spatial variation in
	selection on climate	the effects of climate			climate, controlling for site-
	variables may not be	change on			specific factors like soil type
	statistically separable from the effects of	agricultural			
	market and agronomic	production is the motivator for this			
	variables	study; Publication of			
	variables	results in top tier			
		journal would be			
		more difficult. Field-			
		level crop selection			
		can still be			
		forecasted even if the			
		effects of climate			
		change are not			
		statistically separable			

25.	Inability to recruit Master's and Ph.D. students from in-state	Inability to fully engage the TCs and PUIs at the levels budgeted	Low	Moderate	Continue to communicate with TCs and PUIs; also request Tribal College Liaison to follow-up with students on the TCs and work with Graduate Schools at NDSU and UND
26.	Inability to recruit REU students from in-state	Inability to fully engage the TCs and PUIs at the levels budgeted	Low	Moderate	Continue to communicate with TCs and PUIs; also request Tribal College Liaison to follow-up with students on the TCs
27.	Inability to find undergraduate (UG) researchers from TCs and PUIs to engage in the research clusters	No TC or PUI participation	Low	Low	Continue to communicate with TCs and PUIs; also request Tribal College Liaison to follow-up with students on the TCs
28.	Inability to find Native American students for research clusters	No student participation in research groups; reduction in relationship between research clusters and NATURE	Low	Low	Continue to communicate with TCs and PUIs; also request Tribal College Liaison to follow- up with students on the TCs
29.	American Crystal Sugar Company (ACSC) stops sharing their data	Input data limited to what is available at that time; data can be used for training but not for testing on new years	Low	Low	Most questions can be addressed based on already available ACSC data and new public data

#### 3.13 Management and Succession Plan

Team Leads: Rusch, Hoffmann, Ostrom-Blonigen

**ND EPSCoR Office:** offices at both North Dakota State University (NDSU) in Fargo, ND and at the University of North Dakota (UND) in Grand Forks, ND. Kelly Rusch, the NDSU vice president for research and creative activity serves as the ND EPSCoR project director. Barry Milavetz, the UND interim vice president for research and economic development chairs the ND EPSCoR Steering Committee. ND EPSCoR leadership staff are also located at both UND (Mark Hoffmann, associate project director) and NDSU (Jean Ostrom-Blonigen, project administrator).

Management Structure: The ND EPSCoR Director (Rusch), Associate Director (Hoffmann), Project Administrator (Ostrom-Blonigen) and the EPSCoR Office team (Jung, Kellner, Lerud, Slicer, TC liaison (currently vacant), project assistant (currently vacant at NDSU)) will oversee the implementation of INSPIRE-ND. They will work with the Team Leads of the five goals to ensure timely execution of project components and delivery of outcomes. The EPSCoR Office Team will coordinate project management, data gathering for reports, global event planning. The Team Leads of the five goals will ensure the strategic priorities of the grant are met.

**Succession Plan:** The purpose of the Succession Plan is to ensure that the leadership and management of program are in place for the duration of the project. The succession plan for all four leadership and management levels listed in Table 8 will be reviewed and updated annually.

**Table 8. Succession Strategies** 

Position	Strategies
PI / ND EPSCoR	Following a formal search, the NDSU president will name a replacement. From the time of
Project Director	vacancy until the formal search is completed, the NDSU president will appoint an interim
	replacement. The selected finalist will be vetted with the ND EPSCoR State Steering
	Committee and the head of the NSF EPSCoR Office.
Co-PIs / Associate	Co-PI will inform PI that he/she will be leaving as soon as possible and replacements will be
Project Director	suggested and discussed with PI and other Co-PI. Vetting for a replacement Co-PI will be
and Project	among those who are already involved in the program and who have the skills and time to
Administrator	provide program oversight. Once a replacement has been identified and accepts the position,
	a formal "change of Co-PI" request will be made to NSF. Once the change is approved by
	NSF, the replacement will shadow the Co-PI who is leaving for as long as possible prior to
	the Co-PI's departure date.
Research Center	Each research center has designated a co-lead who assume the leadership role during any
Component Leads	planned or unplanned absences of the component lead. In the event that the absence is greater
	than one month, a second interim co-lead will also be named.
Benchmark/	Succession planning is not an issue as most benchmarks / activities have two individuals
Activity Leads	named for backup and collaboration between the two campuses; however, in the event that
	the individuals named are from different campuses, the project research cluster members will
	assist with collaboration efforts. In the instances where just one benchmark / activity lead is
	named, the component lead for that portion of the project will name an interim benchmark /
	activity lead.

#### 3.14 Evaluation and Assessment Process

The project's evaluation and assessment process will measure program impacts and achievements as outlined for each focus group in the preceding tables. The project management team will use the evaluation results and evaluators' recommendations to inform changes to North Dakota's Track-1 project. The evaluation process utilizes the services of:

- An *External Advisory Board (EAB)* composed of national experts who will meet twice annually (once in person) to review: (1) the progress of the research focus areas and the research competitiveness of participants, (2) the effectiveness of EMPOWERED-ND activities, and (3) ND EPSCoR's management performance. EAB input will enable mid-course changes and informed response to emerging opportunities. The annual EAB report will be provided to the NSF PD.
- A North Dakota-seasoned *External Evaluator* who will: assist with completion of institutional review board protocols; prepare quarterly reports; and meet with the management team and advisory committee to discuss progress, outcomes, and recommendations for improvement.

The evaluation and assessment process includes:

- **Initial evaluation.** The evaluator has worked with the management team throughout the strategic planning process and provided feedback as metrics were developed.
- **Constructive evaluation**. The evaluator will provide periodic feedback to the management team throughout the duration of the project.
- **Final evaluation.** The evaluator will conduct a final assessment of the project/program to determine whether achievement metrics were attained and render an opinion on those that were not.

For the evaluation and assessment process, ND EPSCoR will utilize six evaluation and assessment mechanisms: (1) a strategic plan and evaluation plan, (2) staff time dedicated to data collection, coordinating and reporting, (3) a tribal college liaison, (4) an annual meeting of the EAB of independent experts to provide guidance and feedback, (5) an external independent evaluator, and (6) a feedback loop to ensure appropriate and timely management responses.

# 4 APPENDICES

- 4.1 Programmatic Terms and Condition Resolution: CRCS –Research Plan
- 4.2 Programmatic Terms and Condition Resolution: CSMS-Hiring Plan

# APPENDIX 4.1: PROGRAMMATIC CONDITION RESOLUTION: CRCS – RESEARCH PLAN

NSF's programmatic condition #8 address specific jurisdiction terms and conditions. The CRCS responds to Question #8.1: Develop a detailed research plan for the CRCS research theme that delineates the relationships between the subprojects. The plan must be submitted to NSF EPSCoR along with the required RII Strategic Plan:

#### a) How will farmers be involved in the research?

CRCS Response: Year 1 - Farmers will be invited to participate in focus groups designed to assess their essential understanding of and concerns related to regional climate change. They will also be asked open-ended questions about how their farming practices have changed over time, and how they anticipate them changing in the future. These two sets of questions are intentionally broad, so that farmer-generated themes can in turn emerge to support the development of a quantitative survey instrument in Year 2. In addition to broad queries around these two general areas, a final focused set of questions posed to farmers will investigate the ways in which they make connections between climate change and farming decisions. For this phase of this study, the goal is to complete 8 - 12 focus groups, with 4 - 8 members each. These groups will be convened across the state, using the 8 regions of the state as geographies to delineate pools for participants for inclusion in the invitation to each group. Recruitment of participants will continue until themes are saturated, using standard qualitative research methodologies. In the event that we are not able to engage or form enough focus groups, we will conduct qualitative interviews with individual farmers (in person, or via telephone or video conference), again until a sufficiently large representative group is chosen from each region. It is expected that this phase of the study will involve input from at least 36 farmers, representing both farm and ranch operations, small and large operations, and various crop types.

<u>Years 2 - 5</u> - Farmers will be surveyed, using the quantitative measure developed from Focus Groups in year 1, regarding their attitudes, behaviors, and decision-making strategies related to crop selection and other farming activities. The survey will also measure attitudes, knowledge, and salience of climate information. Associations between these two constructs will be analyzed individually, and will also be fed into the models being developed in RA 4 & RA 5. For these surveys, representative samples of farmers will be sought in each of the following categories:

- Ranchers in oil-bearing country by North and South
- Ranchers with no oil by state quadrants (N, S, E, W)
- Grain farmers in oil-bearing country (N & S)
- Grain farmers with no oil (N, S, E, W)
- Root farmers
- Livestock producers other than ranchers

Across each category, farm operation size will be controlled and samples will be weighted consistent with sampling/survey procedures recommended by Mann and Chowhan (2011).

#### b) What will be the process for installing sensors on farm equipment?

**CRCS Response;** As a part of a Partnership or Innovation grant we have a collaboration involving NDSU Soil Science and Extension professor David Franzen and a company from which he has long used sensors, Holland Scientific. This collaboration provides us with access to data from Holland Scientific's Crop Circle sensors. The sensor data holds similar information to remote sensing, but its active sensing technology results in somewhat higher quality and higher resolution than the passive sensing from satellites. The collaboration enables us to work with farmers in contexts where the

farmers' payoff comes from Dr. Franzen's research and Extension activities. A positive side-effect of using these existing relationships is that the timescales on which farmers can receive actionable feedback from Extension activities tend to be shorter than those for climate research and more conducive to maintaining such farmer relationships.

#### c) What role, if any, will farmers play in the research on autonomous adaptation?

**CRCS Response:** Firstly, data gathered from farmers (as described above) will be entered into the models. In addition, we work together closely with Extension specialists John Nowatzki (Agricultural Engineering) and David Franzen, both of whom lead many workshops for farmers and agronomists as part of their Extension activities. They also interact with farmers as part of their research. David Franzen has offered to facilitate an addition of questions to questionnaires that are annually sent out to farmers through growers associations. These questionnaires reach many farmers and enjoy high response rates. NDSU Extension services have a long history of establishing close relationships and gaining the trust of farmers throughout the state.

d) How will feedbacks between autonomous farmer activities and regional climate be addressed? CRCS Response: This question will be addressed with respect to land use and land change (LULC). Autonomous farmer activity related land use and land change (LULC) will be studied using an individual based economic land-use model. The identified LULC will be used to further a study on water resources, evaluate possible feedback on the hydrological cycle, as well as conduct an exploratory study to investigate the impacts of LULC on regional cloud climatology.

The impact of the identified LULC on water resources and the regional hydrological cycle will be simulated using the local/regional models to be developed. In particular, we will examine the impact on water quality, such as fertilizer leaking, and water balance, such as evapotranspiration and runoff. The effect of LULC will also be evaluated by examining changes in evapotranspiration, which will be measured using a scintillometry method and modeled.

Feedbacks to regional cloud climatology will be explored through model simulations with the WRF-Chem model to investigate linkages between surface emissions, atmospheric chemistry, and cloud formation. Predicted climate-induced changes in land use and crop selection (from RA-5) will be used to define model input scenarios based on published results. Simulations will examine the impact of land use changes and the sensitivity of model predictions to various CCN parameterizations and cloud modeling approaches. As an exploratory study, by integrating with planned aerosol chemistry research, aerosol modeling research, and an improved hydrological observation network, our goal is to achieve an improved insight into modeling based uncertainties of the effects of LULC on regional cloud climatology.

# APPENDIX 4.2: PROGRAMMATIC CONDITION RESOLUTION - CSMS HIRING PLAN

NSF's Jurisdiction Specific Terms and Conditions #8.1: A hiring plan for the CSMS research theme that clearly outlines the plans for hiring four new faculty members in: 1) synthetic organic or inorganic chemistry; 2) computational polymer science; 3) coatings and polymeric science; and 4) polymer and materials LCA, including a detailed timeline. The plan should include risk management in the form of alternatives or mitigation strategies to achieve the research goals in the event that not all of the hires are retained as planned. The hiring plan must be submitted to NSF EPSCoR along with the required RII Strategic Plan:

# **CSMS Faculty Hiring, Recruiting, and Mentoring Plan**

#### **Executive Summary**

The Departments of Chemistry and Biochemistry (CHEM) and Coatings and Polymeric Materials (CPM) and the Center for Sustainable Materials Science (CSMS) plan to hire four new faculty members as indicated in the table below.

Department	Rank	Target Start	Research Area
CHEM	Assistant Professor	Fall 2015	Synthetic Materials Chemistry
CPM	Assistant Professor	Fall 2015	Bio-based Polymer Synthesis
CPM	Assistant Professor	Fall 2016	Computational Polymer Science
CPM	Assistant or Associate	Fall 2016	Life Cycle Assessment
	Professor		

For each hire, the search will be initiated in the fall semester prior to the intended start semester. A target list of research groups will be prepared for each search for focused recruiting.

# **Goals and Metrics**

A new faculty member at the assistant professor level will require time and mentoring to reach a level of research productivity required by the CSMS program. Progress toward success will be continually evaluated with the following goals in mind:

- Anticipated proposal submissions
  - NSF CAREER (SEES Directorate), DoE, USDA, USB
  - Joint collaborative proposal with other CSMS participants by year 4
- Anticipated publications
  - Two publications from NDSU work by year 2
  - Collaborative joint publications with other CSMS participants by year 4
- Presentations
  - Invited presentations at other institutions (seminars, conferences, etc.)
  - Contributed presentations at national/international conferences

#### **Salary Requirements**

Three positions will be offered at the assistant professor level. One position will be offered at either the assistant or associate professor level. NDSU is committed to providing nationally competitive salaries for these positions.

# **Startup Requirements**

In order to be competitive and recruit the level of talent that the program requires, and to ensure that each new faculty member will be on solid footing for future success, a competitive startup package is required. Undoubtedly, candidates for this position will be highly sought after by top universities across the country. Personnel costs are the majority of startup funds. This includes summer salary for the PI, graduate research assistants and postdoctoral fellows. Travel funds are also needed so the faculty member can attend scientific meetings and visit program officers prior to proposal submission. For the faculty members involved in experimental studies, funds to purchase lab equipment and supplies are also needed. A competitive startup package over three years will be required to successfully recruit and ensure the success of high quality new faculty members in the areas of materials synthesis and bio-based polymer synthesis. The faculty members involved in computational polymer science and life cycle assessment will have similar requirements for personnel and travel funds. In addition, they will need funds to purchase computer equipment and specialized software for their research efforts. Startup funds will come from the departments, the college, and the provost. The EPSCoR program will provide supplemental funds, if available and where appropriate.

### **Recruiting and Hiring Plan**

The Departments and the Center for Sustainable Materials Science (CSMS) will conduct national searches for each new faculty member. The Departments will cover the anticipated costs for conducting the faculty search and hiring. The position will be advertised nationally and internationally in *Chemical and Engineering News*, *Science*, on the NDSU web page, and through targeted emails and letters to chairs and faculty in the targeted research groups and others as well as relevant listservs. The advertising campaigns will commence in the preceding fall semesters and we plan to begin interviewing candidates in the following spring semester. For each position, a search committee will be established consisting of four faculty members and one graduate student. At least one of the search committee members will be female. The committee will initially filter applications, select a top tier list of candidates and the department as a whole will meet to discuss these candidates, or any others that faculty wish to discuss. The committee will continue its efforts until the best candidate is identified. Up to four candidates for initial interviews will be selected.

For on-site interviews, over the course of two days candidates will meet individually with all department faculty members and several outside the department to discuss their science and mutual interests. Candidates will meet with groups of undergraduate and graduate students, the Dean of the College, and any other interested parties. The candidate will present a public seminar on their research accomplishments. And each candidate will meet with the faculty as a whole to discuss the applicant's plans for initiating and sustaining their research program at NDSU. In our experience the critical elements that are important for successful recruiting of new faculty are research facilities, quality of graduate students, balanced teaching loads, supportive and collegial colleagues, salary and competitive startup funding.

The departments of Chemistry-Biochemistry and Coatings and Polymeric Materials have made significant effort to recruit a diverse faculty including females as well members from underrepresented groups. While both departments had been successful in recruiting female faculty in the past, three of these faculty have left. As both the department and the CSMS core group currently consist of nearly all male faculty, increasing gender diversity will be a high priority in these searches.

#### **Mentoring Plan**

Once hired, the new faculty member will be mentored in the CSMS program and department/college. To integrate them into the program, each new faculty member will receive an orientation to the CSMS research program, its goals, and expected outcomes from the Center Director; be included in the regular team meetings; meet with each of the other team members to identify collaborations; and receive mentoring from senior faculty. In addition, after about six months of residency, each new faculty member will be expected to prepare a plan for their research including goals, milestones, and collaborations with other CSMS team members.

Every new faculty member is a large investment for the university. Therefore it is paramount to support and nurture the new faculty member to be successful. This is accomplished in many ways. Mentoring is critical for any new employee and our new faculty member will be assigned a senior colleague as a mentor. Monthly mentoring sessions throughout the academic year on topics such as grant writing, graduate student and postdoc recruitment, establishing collaborations, etc. are organized and available to new faculty. In addition to formal mentoring, senior faculty colleagues commonly aid each other in reviewing proposals and manuscripts as well as providing advice on issues of science and research. The NDSU-FORWARD program has played a major role in mentoring female faculty on the campus.

The Departments send junior faculty to grant writing workshops, such as "Gear-Up for Grants" and encourage them to take an active part in new faculty training seminars provided by the College. New faculty are not burdened with overly onerous administrative duties and committee involvement is kept minimal as they establish their independent research. All new faculty are provided a semester of release time from teaching in their first year to allow them to get up and running rapidly. Current teaching loads for research active faculty are one lecture course per semester. Senior faculty help make this possible by taking on extra teaching duties. In addition, the Departments provide additional resources for our new faculty to invite outside seminar speakers in their area to aid them in networking within their field. Funds are also provided in the startup package for junior faculty to travel to meet with funding agency officials and collaborators.

#### Resources Available

Additional resources are available to the new faculty members within the departments and across the NDSU campus. The Department of Chemistry and Biochemistry has outstanding research facilities for materials characterization (NMR, MS, X-ray), computation, Core Synthesis and Core Biology. These facilities are well staffed with Ph.D. level personnel for NMR, X-Ray, Synthesis and Biology. The Department of Coatings and Polymeric Materials has outstanding research facilities for polymer and characterization (GPC, DSC, DMTA, AFM (Atomic Force Microscopy), UV-Vis, FTIR, etc.), and affiliated units such as the CMRL (Combinatorial Materials Research Laboratory) and Electron Microscopy Center have additional equipment useful for polymer materials characterization. The Center for Computationally Assisted Science and Technology (CCAST) has computing infrastructure that can be used by the hires in Computational Polymer Science and Life Cycle Assessment.

#### **Status as of 1/15/15**

Department	Rank	Target Start	Research Area
CHEM*	Assistant Professor	Fall 2015	Synthetic Materials Chemistry
CPM**	Assistant Professor	Fall 2015	Bio-based Polymer Synthesis

<sup>\*</sup>The organic chemist search is well underway; there are currently 42 applications and we expect to receive another 40+ when with the joint Chemical and Engineering News employment advertisement. The current timeline is that initial screening will start 2/15/15, with interviews in March-April and, hopefully, an offer approximately 5/1/15.

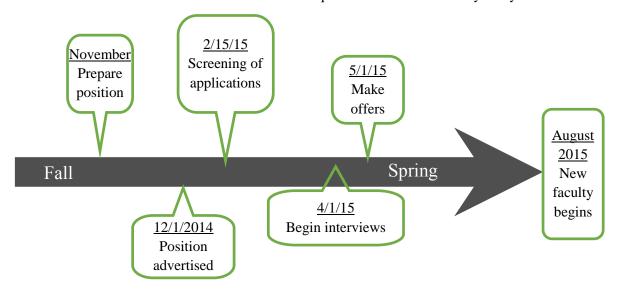
\*\*The search for the CPM assistant professor is also underway and applications are being submitted. We expect to more applications as a result of the joint Chemical and Engineering News employment advertisement. The current timeline is that initial screening will start 2/15/15, with interviews in March-April and, hopefully, an offer approximately 5/1/15.

# **Risk Management Plan for New Faculty Hires**

	Risk	Consequences	Impact	Likelihood	Mitigations
1.	Late start on first two hires.	Best candidates taken by other universities.	High	Moderate	Accelerate the process as much as possible; flip to a mid-year hire if needed.
2.	Inability to hire the right faculty member according to plan	Unable to meet metrics.	Moderate	Low	Identify and address potential weaknesses in recruiting approach. Ensure we have the right targets for recruiting. Redo search the following year.
3.	Inability to hire suitable Synthetic Materials Chemistry faculty member/or faculty leaves prior to end of grant.	Unable to meet metrics	Moderate	Low	Options to ensure metrics are met include: 1. Provide funding for additional graduate students and/or postdocs; 2. Use seed grant mechanism to provide funding for additional faculty to contribute to program.
4.	Inability to hire Bio- based Polymer Synthesis (CPM) faculty member/or faculty leaves before end of grant.	Unable to meet metrics	Moderate	Low	Options to ensure metrics are met include: 1. Provide funding for additional graduate students and/or postdocs; 2. Use seed grant mechanism to provide funding for additional faculty to contribute to program.
5.	Inability to hire Computational Polymer Science faculty member/or faculty leaves before end of grant.	Unable to meet metrics	Moderate	Low	To ensure metrics are met the seed grant funding mechanism could be employed to provide funding for an existing faculty member to contribute to program. In addition, we could seek a suitable collaborator at another university.
6.	Inability to hire Life Cycle Assessment faculty member/or faculty leaves before end of grant.	Unable to meet metrics	Moderate	Moderate	To ensure metrics are met funding can be provided to continue the consulting activities of Professor Amy Landis, Arizona State University.

# **CSMS New Faculty Hiring Timeline**

The timelines below are for the first two hires. Subsequent hires will be offset by one year.



# **Faculty Development Timeline**

The timelines below represent the development protocols for all four hires.

